



CITY OF LODI

COUNCIL COMMUNICATION

AGENDA TITLE: Revised Transportation Development Act (TDA) Claim 1989-90
MEETING DATE: February 20, 1991
PREPARED BY: Assistant City Manager

RECOMMENDED ACTION: The City Council approve a revised claim for 1989-90 Transportation Development Act (TDA) funds.

BACKGROUND INFORMATION: Our COG liaison has suggested we submit a revised claim for 1989-90 to more clearly show how we actually used the funds received.

The claim will then reflect actual receipts and expenditures and will also track through the City of Lodi's fiscal records. We are also making changes to the operational information as it gives a better indication of system performance and future outlook.

This revised claim has no fiscal impact. It is just a matter of cleaning up paperwork so that all records coincide.

Respectfully submitted,

Jerry L. Glenn
Assistant City Manager

JLG:br

Attachments

FUNDING: None required

CCCOM142

APPROVED: _____

THOMAS A. PETERSON
City Manager

recycled paper

FISCAL YEAR 1989-90

SAN JOAQUIN COUNTY COG
TRANSPORTATION DEVELOPMENT ACT
CLAIM FORMS AND GUIDELINES

FOR

STATE TRANSIT ASSISTANCE FUND (STA)

AND

LOCAL TRANSPORTATION FUND (LTF - TDA)

STATE TRANSIT ASSISTANCE CLAIM

TO: San Joaquin County Council of Governments
1860 East Hazelton Avenue
Stockton, CA 95205

FROM: Applicant: City of Lodi

Address: 221 W. Pine St., P. O. Box 3006, Lodi, CA 95241-1910
(City, Zip)

Contact Person: Jerry L. Glenn Phone: 209/333-6700
Assistant City Manager

This claimant, qualified pursuant to Section 99203 and 99315 of the Public Utilities Code, hereby requests, in accordance with Chapter 1400, Statutes of 1971 as amended, and applicable rules and regulations, that an allocation be made in the amount of \$ 4,927 for fiscal year 1989-90, to be drawn from the State Transit Assistance trust fund of San Joaquin County for the following purposes and in the following respective amounts:

| Purposes | Amounts |
|-------------------|---------|
| Streets and Roads | \$4,927 |

Allocation instruction and payment by the County Auditor to this claimant are subject to such monies being on hand and available for distribution, and to the provisions that such monies will be used only in accordance with the terms of the approved claim.

The claimant certifies that this State Transit Assistance Fund Claim and the financial information contained herein, is reasonable and accurate to the best of my knowledge, and that the aforementioned information indicates the eligibility of this claimant for funds for the fiscal year of the application pursuant to CAC Section 6634 and 6734.

APPROVED:

San Joaquin County Council
of Governments

By: PETER D. VERDOORN

Title: Executive Director

Date: _____ 19__

Applicant: CITY OF LODI

Signed: _____

Name: Thomas A. Peterson

Title: City Manager

Date: _____ 19__

TRANSPORTATION DEVELOPMENT ACT APPORTIONMENTS

I. Local Transportation Fund Available Apportionment

| | |
|---|--------------|
| A. Area Apportionment 1989-90 | \$ 921,652 |
| B. Pedestrian/Bicycle Apportionment | 17,305 |
| C. Previous Years' Unclaimed Apportionment | 41,933 |
| D. Unexpended Carryover | 790,900 |
| E. Total Available for 1989-90 Claim(s) | 1,778,790 |
| F. less any LTF Already Claimed 1989-90 | -0- |
| G. TOTAL AVAILABLE FOR THIS CLAIM (Also enter on page 8, 1st column) | \$ 1,778,790 |

II. State Transit Assistance Fund Available Apportionment

| | |
|---|----------|
| A. Area Apportionment 1989-90 | \$ _____ |
| B. Special Transit Apportionment 1989-90 | _____ |
| C. Previous Years' Unclaimed Apportionment | 4,927 |
| D. Unexpended Carryover | -0- |
| E. Total Available for 1989-90 Claim(s) | 4,927 |
| F. less any STA Already Claimed 1989-90 | -0- |
| G. TOTAL AVAILABLE FOR THIS CLAIM (Also enter on page 8, 2nd column) | \$ 4,927 |

TRANSPORTATION DEVELOPMENT ACT ALLOCATIONS

| <u>Claim Purpose</u> | I. LTF | II. STA |
|---|-------------------|-------------------|
| I. PUBLIC TRANSPORTATION | | |
| Article 4 (99260)-Operator | <u>300,300</u> | <u> </u> |
| Article 8 (99400(c)) Contractor operating | <u> </u> | <u> </u> |
| Article 8 (99400(e)) Contractor capital | <u> </u> | <u> </u> |
| II. PEDESTRIAN AND BICYCLE | | |
| Article 3 (99234) | <u> </u> | N/A |
| Article 8 (99400(a)) | <u>11,305</u> | <u> </u> |
| III. ROADS AND STREETS | | |
| Article 8 (99400(a)) | <u>1,459,185</u> | <u>4,927</u> |
| IV. OTHER | | |
| Article 8 (99400(b)) or 99400(d) | <u> </u> | N/A |
| //////////////////////////////////// | | |
| TOTAL THIS CLAIM | <u>1,778,790</u> | <u>4,927</u> |
| TOTAL AVAILABLE FOR THIS CLAIM (from page 7, I. and II. G.) | <u>1,778,790</u> | <u>4,927</u> |
| UNCLAIMED APPORTIONMENT (TOTAL AVAIL. less TOTAL THIS CLAIM) | <u>-0-</u> | <u>-0-</u> |

IMPORTANT: To avoid accidental overpayment, please identify in the space below any unexpended carryover included in the amounts being claimed above.

Road and Streets includes \$790,900 of unexpended LTF money.

PART I - PUBLIC TRANSPORTATION

Please Circle either: Article 4 operator or Article 8 contractor

FINANCIAL INFORMATION

| I. OPERATING REVENUE | 1988-89 | | 1989-90 Budget* |
|--|---------|----------------|--------------------|
| | ACTUAL | ESTIMATE | |
| | | 39,450 | 39,313 |
| 401 Passenger Fares | | | |
| 402 Special Transit Fares | | | |
| 403 School Bus Service Revenues | | | |
| 404 Freight Tariffs | | | |
| 405 Charter Service Revenues | | | |
| 406 Auxiliary Transportation Revenues | | | |
| 407 Non-Transportation Revenues | | 1,225 | 113 |
| 408 Taxes Levied Directly by Transit System (Specify) | | | |
| 409 Local Cash Grants & Reimbursements (Specify) Local Transportation Fund (LTF) | | 201,460 | 245,200 |
| 410 Local Special Fare Assistance | | | |
| 411 State Cash Grants & Reimbursements (Specify) State Transit Assistance Fund (STA) | | 6,950 | |
| 412 State Special Fare Assistance | | | |
| 413 Federal Cash Grants & Reimbursements (Specify) UMTA Grants | | | |
| 430 Contributed Services | | | |
| 440 (Specify) Sale of Property Reimbursements | | 1,600 4,658 | |
| | TOTAL | 255,343 | 284,626 |
| II. CAPITAL REVENUE | | | |
| 464 Federal Capital Grants & Subsidies (Specify) | | | |
| State Capital Grants & Subventions (Specify) State Transit Assistance Funds (STA) | | | |
| Local Capital Provisions (Specify) Local Transportation Fund (LTF) | | 65,000 | 55,100 |
| Non-Governmental Donations Sale of Property | | | 29,759 |
| | TOTAL | 65,000 | 84,859 |

* Reflects Actual Receipts

III. OPERATING EXPENSES

| | 1988-89 Please Circle Actual or Estimate | 1989-90 Budget ⁽¹⁾ |
|--------------------------------------|---|----------------------------------|
| 501 Labor | | |
| Operators Salaries/Wages | _____ | 53 |
| Other Salaries/Wages | _____ | _____ |
| 502 Fringe Benefits | _____ | 32 |
| 503 Services | _____ | _____ |
| 504 Materials/Supplies | | |
| Fuels/Lubricants | _____ | _____ |
| Tires/Tubes | _____ | _____ |
| Other | _____ | _____ |
| 505 Utilities | _____ | _____ |
| 506 Casualty/Liability Costs | 49,793.75 | 51,208 |
| 507 Taxes | _____ | _____ |
| 508 Purchased Transportation Service | 210,446.80 | 224,104 |
| 509 Miscellaneous Expenses | 2,234.23 | 988 |
| 510 Expense Transfers | _____ | _____ |
| 511 Interest Expense | _____ | _____ |
| 512 Leases and Rentals | _____ | _____ |
| 513 Depreciation/Amortization | | |
| Operator Funds | _____ | _____ |
| Grant Funds | _____ | _____ |
| TOTAL | 262,474.78 | 276,385 (2) |

IV. CAPITAL EXPENSES*

| | | |
|---------------------------|-----------|------------|
| Debt Service | _____ | _____ |
| Land/Property Acquisition | _____ | _____ |
| Vehicles | 30,303.97 | 84,185 |
| Construction | _____ | _____ |
| Other | _____ | 583 |
| TOTAL | 30,303.97 | 84,768 (3) |

*Allowable capital expenses are limited for Article 8 claimants; see 99400 (e).

(1) Reflects actual expenditures.

(2) Revenue exceeds expenditures by \$8,241 - unexpended carry-over will be reclaimed in FY91

(3) Revenue exceeds expenditures by \$91 - unexpended carry-over will be reclaimed in FY91

OPERATIONAL INFORMATION*

| | Actual FY 1987-88 | Actual/Est. FY 1988-89 | Proposed (1) FY 1989-90 |
|--|----------------------|---------------------------|----------------------------|
| 1. Patronage | | | |
| a. Total Passengers | 76,207 | 73,115 | 77,605 |
| b. Revenue Passengers | 5,510 | 4,935 | 6,928 |
| c. Youth Passengers | | | |
| d. Elderly Passengers | 70,697 | 65,907 | 68,610 |
| e. Handicapped ^{County} Passengers | | 2,273 | 2,067 |
| 2. Vehicle Miles | | | |
| a. Total Vehicle Miles | 140,069 | 141,767 | 156,104 |
| b. Revenue Vehicle Miles | | | |
| 3. Revenue Vehicle Hours | | | |
| 4. Revenue Vehicle Fuel Consumption | | | |
| a. Diesel | | | |
| b. Gasoline | 13,819 | 13,714 | 14,183 |
| c. Liquid Natural Compressed Gas | | | |
| 5. Fare Structure | | | |
| a. Base | .50 | .50 | .50 |
| b. Zone | | | |
| c. Youth | | | |
| d. Senior | .50 | .50 | .50 |
| e. Handicapped | .50 | .50 | .50 |
| f. Monthly Pass | | | |
| g. Other | 1.00 | 1.00 | 1.00 |
| h. Average Fare | .54 | .54 | .52 |

*Attach additional pages as necessary to alter or complete description

(1) Reflects actual performance

THREE YEAR FISCAL PLAN

| | 1990-91 | 1991-92 | 1992-93 |
|----------------------|-------------------|-------------------|-------------------|
| Operating Expenses | \$ <u>278,640</u> | \$ <u>300,000</u> | \$ <u>330,000</u> |
| Operating Revenues | | | |
| Sources: LTF | \$ <u>229,565</u> | \$ <u>248,475</u> | \$ <u>275,950</u> |
| STA | <u>5,220</u> | <u>5,400</u> | <u>5,600</u> |
| Federal | <u> </u> | <u> </u> | <u> </u> |
| Fares | <u>43,750</u> | <u>46,000</u> | <u>48,300</u> |
| General Fund | <u> </u> | <u> </u> | <u> </u> |
| Other | <u>105</u> | <u>125</u> | <u>150</u> |
| Total | \$ <u>278,640</u> | \$ <u>300,000</u> | \$ <u>330,000</u> |
| Capital Expenses | \$ <u>64,500</u> | \$ <u>18,000</u> | \$ <u>38,000</u> |
| Capital Revenue | | | |
| Sources: LTF | \$ <u>64,500</u> | \$ <u>18,000</u> | \$ <u>38,000</u> |
| STA | <u> </u> | <u> </u> | <u> </u> |
| Federal | <u> </u> | <u> </u> | <u> </u> |
| Other | <u> </u> | <u> </u> | <u> </u> |
| Total | \$ <u>64,500</u> | \$ <u>18,000</u> | \$ <u>38,000</u> |

FLEET INVENTORY
 (Transit Vehicle Owners Only)

***** (SEE ATTACHED SHEET) *****

| Make & Model | Production Year | # of Veh. | Fuel Type | Seat Capacity | Special Features | | | |
|---------------|-----------------|-----------|-----------|---------------|------------------|----|----|---------------|
| | | | | | AC | EP | WC | Other |
| Chev. Impala | 1985 | 1 | gas | 6 | X | | | Station wagon |
| Chev. Caprice | 1985 | 3 | gas | 6 | X | | | Station wagon |
| Chev. Caprice | 1986 | 1 | gas | 6 | X | | | Station wagon |
| Chev. Impala | 1982 | 1 | gas | 6 | X | | | Station wagon |
| Dodge Caravan | 1989 | 2 | gas | 7 | X | | | Van |
| TOTAL | XXXXXXXXXXXX | 8 | XXXXXX | | | | | |

Vehicles to be Purchased in FY 1989-90

| | | | | | | | | |
|---------------|--|---|--|--|---|---|---|--|
| Van | | 1 | | | X | X | X | |
| Station wagon | | 5 | | | X | | | |

AC = Air Conditioned
 EP = Environmental Package
 WC = Wheelchair Lift

Attachment to Page - 13 - of Fleet Inventory
Fiscal Year 1989-90 TDA Claim

=====

It is the intent of the City of Lodi to purchase from Dial-A-Ride the two Dodge Caravans. These vehicles are inadequate for our present usage.

We intend to purchase five station wagons in the 1989-90 fiscal year. Two of these will be to replace the vans. Two of the vehicles will be used to replace the oldest and most expensive vehicles. The fifth will be either an addition to the fleet or to replace an existing vehicle.

The handicap van is included in an amendment to the 1988-89 claim.

Article 4 Operator TDA Requirements

1. Fare Ratio/Local Support Requirements

All Article 4 claimants are required to maintain a specified ratio of fare revenue to operating cost. In addition, SMTD only is required to maintain a ratio of fare revenue plus local support to operating cost of 32%. See 99268.2 - 99268.19 for details and exemptions pertaining to ratios.

- A. What is this system's required farebox recovery ratio?
10%
- B. Does the attached budget demonstrate that this system will meet its required farebox recovery and for SMTD its farebox plus local support ratios? yes
- C. Has this system utilized its grace year? _____
- D. Has this system been in non-compliance with its required ratio(s)? no
- If yes, identify the year or years _____

2. Extension of Service/New Service

An extension of service or new service is exempt from the required farebox and local support ratios if:

- A. The extension of service or new service has been in operation for less than two full fiscal years. The two-year extension of services exclusion applies until two years after the end of the fiscal year in which the extension of services was put into operation.
- B. The claimant submits a report on the extension of services to the COG within 90 days after the end of the fiscal year. (For details of the report, see 6633.8(b)).

Is an extension of service/new service being claimed? No

If so, has the required report been submitted for the most recently completed full fiscal year? If not, that report must accompany this claim.

3. Fifteen Percent Expenditure Increase (6632)

If any of the line items on the attached budget exceed by more than 15% the expenditure for that same item in the previous year's budget, then an explanation for that increase must be given below. Attach an extra page if necessary.

The addition to the fleet will require additional expense for insurance.

The purchase of four replacement vehicles necessitates the addition of capital funds.

4. Narrative Description (6632)

Please describe in the space below any changes in service characteristics from the previous fiscal year. This should specifically include any substantial increase or decrease in the geographic area served, major changes to the scope of operations, or addition of major new fixed facilities. Please attach an additional page if necessary.

SPECIAL NOTES FOR RATIO CALCULATIONS

SMTD - Exclude certain costs and fares as specified in the most recent Compliance Audit Report.

Lodi - Exclude County service when calculating fares and expenses.

Article 8 Contractor TDA Requirements

For contracted transportation service providers, the San Joaquin County Council of Governments' Executive Board has waived the farebox and local support ratios as it is empowered to do by 99405(c). The COG Board has established a two-step process.

1. Match Requirement

For any Article 8 transit claim, no more than 90% of the total operating funds (minus depreciation) in the budget may be TDA (LTF and STA) derived. The ten percent or more matching funds may come from any other source available to the claimant besides TDA.

2. Operating Cost Per Passenger Objective

To receive an amount of TDA operating funds (LTF and STA combined) in excess of what was claimed the previous fiscal year, the claimant must establish an operating cost per passenger objective for the fiscal year of the claim. The objective should be a realistic one based on current and past system performance, but should be low enough to represent an "improvement" when warranted. The COG Executive Board will adopt the systemwide operating cost per passenger objective for the fiscal year of the claim.

If the system failed to meet its operating cost per passenger objective in the fiscal year prior to the fiscal year of the claim, then the claimant is only eligible to file a claim for the level of TDA operating funding received in that prior fiscal year. In the case of a unified transit system, each claimant would be limited to the prior year's level of TDA operating funding. If a system wishes to be eligible for increased TDA operating funding in a future fiscal year, then the claimant should identify an operating cost per passenger objective.

- i. What was the level of TDA operating funding received in the previous fiscal year for this system by this claimant (LTF plus STA)? \$ _____
- ii. Does the attached budget information demonstrate at least a 10% match of non-TDA funds? _____
- iii. Is this claim requesting more TDA operating funds than were received for this system by this claimant in the previous fiscal year? _____
- iv. If yes, did the system meet its operating cost per passenger objective in the previous fiscal year? _____

An affirmative answer should be documented in Part v. on the next page.

- v. What was last year's Operating Cost per Passenger Objective? _____ What was the actual operating cost per passenger? . . .
- a. FY 1988-89 Operating Cost \$ _____
 - b. Total Passengers _____
 - c. Operating Cost Per Passenger (a / b) \$ _____

- vi. What is the Operating Cost per Passenger Objective for this claim? . . .
- d. Budgeted Operating Cost \$ _____
 - e. Estimated Total Passengers _____
 - f. Calculated Operating Cost per Passenger (d / e) \$ _____
 - g. FY 1989-90 OPERATING COST PER PASSENGER OBJECTIVE \$ _____
 - h. If this claim is for a unified transit system (see footnote), has the contributing claimant been appraised of the planned systemwide objective set in g. above? _____

3. Fifteen Percent Expenditure Increase (6632)

If any of the line items on the attached budget exceed by more than 15% the expenditure for that same item in the previous year's budget, then an explanation for that increase must be given below. Attach an additional page if necessary.

4. Narrative Description (6632)

Please describe on an attached page any changes in service characteristics from the previous fiscal year. This should specifically include any substantial increase or decrease in the geographic area served, major changes to the scope of operations, or addition of major new fixed facilities.

IMPORTANT: If this claim is for a unified transit system (per definition p. 17a), all calculations and numbers on (2)iv. and (2)v. must include both City and County totals. Also, contributing claimants to unified transit systems should not use this page: use page 17a instead.

ARTICLE 8 CONTRACTOR TDA REQUIREMENTS (CONTRIBUTING CLAIMANTS)

- I. In the case of a "unified transit system," this page is to be used by the "contributing claimant" rather than page 17. A "unified transit system" is defined as one which has the same fare structure throughout the service area, but whose TDA expenses are claimed separately by two different TDA claimants. Additionally, to qualify as a unified transit system, all system TDA funding must be claimed under Article 8 (both claimants). "Contributing claimant" is defined as the claimant contributing a minority of the unified transit system's TDA funds. The claimant furnishing the majority of TDA funds is defined as the "primary claimant."

Currently, the following local transit services qualify as unified transit systems:

| <u>FY 1989-90 Unified Transit Systems</u> | <u>This Page Used by:</u> |
|---|---------------------------|
| Tracy Trans | County |
| Tracy Taxi | County |
| Escalon Public Transit System | County |

- II. 1. Name of unified transit system _____
2. Systemwide operating cost per passenger objective for FY 1989-90 identified in primary claimant's adopted transit claim (from that claim, page 17, (2) vi. g.) _____
3. Date of primary claimant's adopted transit claim (or anticipated future date, if not yet adopted)

IMPORTANT:

The operating cost per passenger objective identified in 3. above will be applied uniformly to the total of City and County TDA funds used by the unified transit system, to determine eligibility for increased TDA funding as explained on page 16. Separate calculations will not be done for City and County.

PART II - PEDESTRIAN AND BICYCLE PROJECTS

LOCAL TRANSPORTATION FUND

| <u>Project Title and Description</u> | <u>Project Limits</u> | <u>LTF Cost</u> <u>Total Cost</u> |
|--------------------------------------|-----------------------|--------------------------------------|
| | | |

STATE TRANSIT ASSISTANCE FUND

| <u>Project Title and Description</u> | <u>Project Limits</u> | <u>STA Cost</u> <u>Total Cost</u> |
|--------------------------------------|-----------------------|--------------------------------------|
| | | |

PART III - ROAD AND STREET PROJECTS

Please provide the requested information for each project being identified for Transportation Development Act funding.

LOCAL TRANSPORTATION FUND

| <u>Project Title and Description</u> | <u>Project Limits</u> | <u>LTF Cost</u> <u>Total Cost</u> |
|--------------------------------------|-----------------------|--------------------------------------|
| Administration | | 3,000 |
| | | 1,464,112 |
| | | <u>2,049,555</u> |

(Use Additional Page if Necessary)

STATE TRANSIT ASSISTANCE FUND

| <u>Project Title and Description</u> | <u>Project Limits</u> | <u>STA Cost</u> <u>Total Cost</u> |
|--------------------------------------|-----------------------|--------------------------------------|
| | | |

(Use Additional page if Necessary)

PART III - ROAD AND STREET PROJECTS

Please provide the requested information for each project being identified for Transportation Development Act funding.

LOCAL TRANSPORTATION FUND

| <u>Project Title and Description</u> | <u>Project Limits</u> | <u>LTF Cost</u> <u>Total Cost</u> |
|--|---|--------------------------------------|
| Harney Lane Overlay | *Hutchins to 400' w/Stockton | 75,000 |
| Lockeford St. Overlay | *SPRR to Cherokee Ln. | 115,000 |
| Ham Lane Overlay & Operational Improvements | *Highway 12 to Vine St. | 195,000 |
| Pacific Ave. Overlay Walnut & Oak St. Overlay | *Walnut to Elm *Ham to Pacific | 95,000 |
| Tokay St. Overlay | Lower Sacramento to Mills | 83,000 |
| Railroad Crossing Improvements | *Loma Dr *Cherokee Ln. Cluff Ave. | 8,000 9,000 12,000 |
| Overlay Analysis/Design | | 6,000 |
| Street Master Plan | | 20,000 |
| Street Maintenance | | 149,555 |
| *Work in progress | | |

(Use Additional Page if Necessary)

STATE TRANSIT ASSISTANCE FUND

| <u>Project Title and Description</u> | <u>Project Limits</u> | <u>STA Cost</u> <u>Total Cost</u> |
|--------------------------------------|-----------------------|--------------------------------------|
| | | |

(Use Additional page if Necessary)

PART III - ROAD AND STREET PROJECTS

Please provide the requested information for each project being identified for Transportation Development Act funding.

LOCAL TRANSPORTATION FUND

| Project Title and Description | Project Limits | LTF Cost |
|---|-----------------------------------|------------|
| | | Total Cost |
| Traffic Signals | *Victor Rd. & Cluff Ave. | \$ 90,000 |
| (FAU Match) | *Lodi Ave. & Lower Sacramento Rd. | 12,000 |
| (FAU Match) | *Elm St. & Lower Sacramento Rd. | 18,000 |
| | Turner Rd. & Stockton St. | 31,000 |
| Hutchins Street Improvements | *Tokay St. to Lodi Ave. | 300,000 |
| | Lodi Ave. to Pine St. | 76,000 |
| Lower Sacramento Rd. Operational Improvements (FAU match) | *St. Mortiz to Turner Rd. | 30,000 |
| Pine Street Overlay | *SPRR to Cherokee Lane | 223,000 |
| Stockton Street Overlay | Lodi Ave. to Lockeford St. | 176,000 |
| Lodi Ave./Ham Lane Intersection Overlay | | 19,000 |
| Lodi Ave. Overlay | Beckman to Kelly | 25,000 |
| Lodi Ave. Overlay | Main to Central | 152,000 |
| Church St. Overlay | *Highway 12 to Mendicino | 71,000 |
| *Work in progress | | |

(Use Additional Page if Necessary)

STATE TRANSIT ASSISTANCE FUND

| Project Title and Description | Project Limits | STA Cost |
|-------------------------------|----------------|------------|
| | | Total Cost |
| | | |

(Use Additional page if Necessary)

PART II - PEDESTRIAN AND BICYCLE PROJECTS

LOCAL TRANSPORTATION FUND

| Project Title and Description | Project Limits | <u>LTF Cost</u> <u>Total Cost</u> |
|-------------------------------|----------------|--------------------------------------|
| Sidewalk Upgrading | Varies | 19,305 50,000 |

STATE TRANSIT ASSISTANCE FUND

| Project Title and Description | Project Limits | <u>STA Cost</u> <u>Total Cost</u> |
|-------------------------------|----------------|--------------------------------------|
| | | |

PART IV - OTHER PURPOSES

It is possible that a claimant may wish to expend TDA funds for purposes allowed within the Act, but not covered by the three previous parts. For instance, TDA funds may be claimed under Article 8, 99400(b) to subsidize AMTRAK service in a community, or under 99400(d) for administration and planning costs for Article 8 transit services. To complete this section, please identify the project, the purpose of the project, the estimated cost, and the fund from which money is being claimed. It is advisable to communicate with COG staff before completing this section.

RESOLUTION NO. 91-29

A RESOLUTION OF THE LODI CITY COUNCIL
APPROVING THE CITY OF LODI'S REVISED 1989-90
TRANSPORTATION DEVELOPMENT ACT CLAIM FOR
LOCAL TRANSPORTATION FUNDS

=====

WHEREAS, on September 6, 1989, the Lodi City Council adopted Resolution No. 89-115 approving the City's 1989-90 Transportation Development Act (TDA) claim for Local Transportation Funds (LTF), and State Transit Assistance (STA); and

WHEREAS, subsequent to approval of the City's 1989-90 TDA Claim by the San Joaquin County Council of Governments, the Council of Governments advised the City that they wished to have the Claim revised and resubmitted to more clearly reflect how the City actually used the funds received;

NOW, THEREFORE, BE IT RESOLVED, that the Lodi City Council hereby approves the City of Lodi's revised 1989-90 Transportation Development Act (TDA) claim in the amount of \$1,778,790, which transfers \$4,927 of STA funds from Transit purposes to Streets and Roads purposes; and which transfers \$4,927 of Local Transportation Funds from Streets and Roads to Transit purposes.

BE IT FURTHER RESOLVED, that the Lodi City Council does hereby authorize the City Manager to execute the revised Claim on behalf of the City of Lodi.

Dated: February 20, 1991

=====

I hereby certify that Resolution No. 91-29 was passed and adopted by the City Council of the City of Lodi in a regular meeting held February 20, 1991 by the following vote:

Ayes: Council Members -

Noes: Council Members -

Absent: Council Members -

Alice M. Reimche
City Clerk

91-29

RES9129/TXTA.01V

RESOLUTION NO. 91-29

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BE IT FURTHER RESOLVED, that the Lodi City Council does hereby authorize the City Manager to execute the revised Claim on behalf of the City of Lodi.

Dated: February 20, 1991

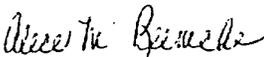
=====

I hereby certify that Resolution No. 91-29 was passed and adopted by the City Council of the City of Lodi in a regular meeting held February 20, 1991 by the following vote:

Ayes: Council Members - Pennino, Pinkerton, Sieglock, Snider
and Hinchman (Mayor)

Noes: Council Members - None

Absent: Council Members - None


Alice M. Reimche
City Clerk

FISCAL YEAR 1989-90

SAN JOAQUIN COUNTY COG
TRANSPORTATION DEVELOPMENT ACT
CLAIM FORMS AND GUIDELINES

FOR

STATE TRANSIT ASSISTANCE FUND (STA)

AND

LOCAL TRANSPORTATION FUND (LTF - TDA)

LOCAL TRANSPORTATION FUND

TO: San Joaquin County Council of Governments
1860 East Hazelton Avenue
Stockton, CA 95205

FROM: Applicant: City of Lodi

Address: 221 W. Pine St., P. O. Box 3006, Lodi, CA 95241-1910
(City, Zip)

Contact Person: Jerry L. Glenn Phone: 209/333-6700

Assistant City Manager

The City of Lodi hereby requests, in accordance with Chapter 1400, Statutes 1971 and applicable rules and regulations, that its annual transportation claim be approved in the amount of \$ 1,778,790 for fiscal year 1989-90, to be drawn from the Local Transportation Fund.

When approved, please transmit this claim to the County Auditor for payment. Approval of the claim and payment by the County Auditor to this applicant is subject to such monies being on hand and available for distribution, and to the provisions that such monies will be used only in accordance with the terms of the approved annual financial plan.

The claimant certifies that this Local Transportation Fund claim and the financial information contained therein, is reasonable and accurate to the best of my knowledge, and that the aforementioned information indicates the eligibility of this claimant for funds for the fiscal year of the application pursuant to CAC Section 6634 and 6734.

APPROVED:

San Joaquin County Council
of Governments

By: PETER D. VERDOORN

Title: Executive Director

Date: _____ 19__

Applicant: CITY OF LODI

Signed: _____

Name: Thomas A. Peterson
City Manager

Title: _____

Date: _____ 19__

STATE TRANSIT ASSISTANCE CLAIM

TO: San Joaquin County Council of Governments
1860 East Hazelton Avenue
Stockton, CA 95205

FROM: Applicant: City of Lodi

Address: 221 W. Pine St., P. O. Box 3006, Lodi, CA 95241-1910
(City, Zip)

Contact Person: Jerry L. Glenn Phone: 209/333-6700
Assistant City Manager

This claimant, qualified pursuant to Section 99203 and 99315 of the Public Utilities Code, hereby requests, in accordance with Chapter 1400, Statutes of 1971 as amended, and applicable rules and regulations, that an allocation be made in the amount of \$ 4,927 for fiscal year 1989-90, to be drawn from the State Transit Assistance trust fund of San Joaquin County for the following purposes and in the following respective amounts:

| Purposes | Amounts |
|-------------------|---------|
| Streets and Roads | \$4,927 |

Allocation instruction and payment by the County Auditor to this claimant are subject to such monies being on hand and available for distribution, and to the provisions that such monies will be used only in accordance with the terms of the approved claim.

The claimant certifies that this State Transit Assistance Fund Claim and the financial information contained herein, is reasonable and accurate to the best of my knowledge, and that the aforementioned information indicates the eligibility of this claimant for funds for the fiscal year of the application pursuant to CAC Section 6634 and 6734.

APPROVED:

San Joaquin County Council
of Governments

By: _____
PETER D. VERDOORN

Title: Executive Director

Date: _____ 19__

Applicant: CITY OF LODI

Signed: _____

Name: Thomas A. Peterson
City Manager

Title: _____

Date: _____ 19__

TRANSPORTATION DEVELOPMENT ACT APPORTIONMENTS

I. Local Transportation Fund Available Apportionment

| | | |
|---|----|------------------|
| A. Area Apportionment 1989-90 | \$ | <u>921,652</u> |
| B. Pedestrian/Bicycle Apportionment | | <u>17,305</u> |
| C. Previous Years' Unclaimed Apportionment | | <u>41,933</u> |
| D. Unexpended Carryover | | <u>790,900</u> |
| E. Total Available for 1989-90 Claim(s) | | <u>1,778,790</u> |
| F. less any LTF Already Claimed 1989-90 | | <u>-0-</u> |
| G. TOTAL AVAILABLE FOR THIS CLAIM (Also enter on page 8, 1st column) | \$ | <u>1,778,790</u> |

II. State Transit Assistance Fund Available Apportionment

| | | |
|---|----|-----------------------------|
| A. Area Apportionment 1989-90 | \$ | <u> </u> |
| B. Special Transit Apportionment 1989-90 | | <u> </u> |
| C. Previous Years' Unclaimed Apportionment | | <u>4,927</u> |
| D. Unexpended Carryover | | <u>-0-</u> |
| E. Total Available for 1989-90 Claim(s) | | <u>4,927</u> |
| F. less any STA Already Claimed 1989-90 | | <u>-0-</u> |
| G. TOTAL AVAILABLE FOR THIS CLAIM (Also enter on page 8, 2nd column) | \$ | <u>4,927</u> |

TRANSPORTATION DEVELOPMENT ACT ALLOCATIONS

| <u>Claim Purpose</u> | I. LTF | II. STA |
|---|-------------------|-------------------|
| I. PUBLIC TRANSPORTATION | | |
| Article 4 (99260)-Operator | <u>300,300</u> | <u> </u> |
| Article 8 (99400(c)) Contractor operating | <u> </u> | <u> </u> |
| Article 8 (99400(e)) Contractor capital | <u> </u> | <u> </u> |
| II. PEDESTRIAN AND BICYCLE | | N/A |
| Article 3 (99234) | <u> </u> | <u> </u> |
| Article 8 (99400(a)) | <u>11,305</u> | <u> </u> |
| III. ROADS AND STREETS | | |
| Article 8 (99400(a)) | <u>1,459,185</u> | <u>4,927</u> |
| IV. OTHER | | N/A |
| Article 8 (99400(b) or 99400(d)) | <u> </u> | <u> </u> |
| //////////////////////////////////// | | |
| TOTAL THIS CLAIM | <u>1,778,790</u> | <u>4,927</u> |
| TOTAL AVAILABLE FOR THIS CLAIM (from page 7, I. and II. G.) | <u>1,778,790</u> | <u>4,927</u> |
| UNCLAIMED APPORTIONMENT (TOTAL AVAIL. less TOTAL THIS CLAIM) | <u>-0-</u> | <u>-0-</u> |

IMPORTANT: To avoid accidental overpayment, please identify in the space below any unexpended carryover included in the amounts being claimed above.

Road and Streets includes \$790,900 of unexpended LTF money.

PART I - PUBLIC TRANSPORTATION

Please Circle either: Article 4 operator or Article 8 contractor

FINANCIAL INFORMATION

| I. OPERATING REVENUE | 1988-89 | | 1989-90 Budget |
|--|---------|----------|-------------------|
| | ACTUAL | ESTIMATE | |
| | | 39,450 | 39,313 |
| 401 Passenger Fares | | | |
| 402 Special Transit Fares | | | |
| 403 School Bus Service Revenues | | | |
| 404 Freight Tariffs | | | |
| 405 Charter Service Revenues | | | |
| 406 Auxiliary Transportation Revenues | | | |
| 407 Non-Transportation Revenues | | 1,225 | 113 |
| 408 Taxes Levied Directly by Transit System (Specify) | | | |
| 409 Local Cash Grants & Reimbursements (Specify) Local Transportation Fund (LTF) | | 201,460 | 245,200 |
| 410 Local Special Fare Assistance | | | |
| 411 State Cash Grants & Reimbursements (Specify) State Transit Assistance Fund (STA) | | 6,950 | |
| 412 State Special Fare Assistance | | | |
| 413 Federal Cash Grants & Reimbursements (Specify) UMTA Grants | | | |
| 430 Contributed Services | | | |
| 440 (Specify) Sale of Property | | 1,600 | |
| Reimbursements | | 4,658 | |
| TOTAL | | 255,343 | 284,626 |
| II. CAPITAL REVENUE | | | |
| 464 Federal Capital Grants & Subsidies (Specify) | | | |
| State Capital Grants & Subventions (Specify) State Transit Assistance Funds (STA) | | | |
| Local Capital Provisions (Specify) Local Transportation Fund (LTF) | | 65,000 | 55,100 |
| Non-Governmental Donations Sale of Property | | | 29,759 |
| TOTAL | | 65,000 | 84,859 |

III. OPERATING EXPENSES

| | 1988-89 Please Circle Actual XXXXXX | 1989-90 Budget (1) |
|--------------------------------------|--|-----------------------|
| 501 Labor | | 53 |
| Operators Salaries/Wages | _____ | _____ |
| Other Salaries/Wages | _____ | _____ |
| 502 Fringe Benefits | _____ | 32 |
| 503 Services | _____ | _____ |
| 504 Materials/Supplies | | |
| Fuels/Lubricants | _____ | _____ |
| Tires/Tubes | _____ | _____ |
| Other | _____ | _____ |
| 505 Utilities | _____ | _____ |
| 506 Casualty/Liability Costs | 49,793.75 | 51,208 |
| 507 Taxes | _____ | _____ |
| 508 Purchased Transportation Service | 210,446.80 | 224,104 |
| 509 Miscellaneous Expenses | 2,234.23 | 988 |
| 510 Expense Transfers | _____ | _____ |
| 511 Interest Expense | _____ | _____ |
| 512 Leases and Rentals | _____ | _____ |
| 513 Depreciation/Amortization | | |
| Operator Funds | _____ | _____ |
| Grant Funds | _____ | _____ |
| TOTAL | <u>262,474.78</u> | <u>276,385 (2)</u> |

IV. CAPITAL EXPENSES*

| | | |
|---------------------------|------------------|-------------------|
| Debt Service | _____ | _____ |
| Land/Property Acquisition | _____ | _____ |
| Vehicles | 30,303.97 | 84,185 |
| Construction | _____ | _____ |
| Other | _____ | 583 |
| TOTAL | <u>30,303.97</u> | <u>84,768 (3)</u> |

*Allowable capital expenses are limited for Article 8 claimants; see

99400 (e).

(1) Reflects actual expenditures.

(2) Revenue exceeds expenditures by \$8,241 - unexpended carry-over will be reclaimed in FY91

(3) Revenue exceeds expenditures by \$91 - unexpended carry-over will be reclaimed in FY91

OPERATIONAL INFORMATION*

| | Actual FY 1987-88 | Actual/Est. FY 1988-89 | Proposed (1) FY 1989-90 |
|---|----------------------|---------------------------|----------------------------|
| 1. <u>Patronage</u> | | | |
| a. Total Passengers | 76,207 | 73,115 | 77,605 |
| b. Revenue Passengers | 5,510 | 4,935 | 6,928 |
| c. Youth Passengers | | | |
| d. Elderly Passengers | 70,697 | 65,907 | 68,610 |
| County | | | |
| e. Handicapped Passengers | | 2,273 | 2,067 |
| 2. <u>Vehicle Miles</u> | | | |
| a. Total Vehicle Miles | 140,069 | 141,767 | 156,104 |
| b. Revenue Vehicle Miles | | | |
| 3. <u>Revenue Vehicle Hours</u> | | | |
| 4. <u>Revenue Vehicle Fuel Consumption</u> | | | |
| a. Diesel | | | |
| b. Gasoline | 13,819 | 13,714 | 14,183 |
| c. Liquid Natural Compressed Gas | | | |
| 5. <u>Fare Structure</u> | | | |
| a. Base | .50 | .50 | .50 |
| b. Zone | | | |
| c. Youth | | | |
| d. Senior | .50 | .50 | .50 |
| e. Handicapped | .50 | .50 | .50 |
| f. Monthly Pass | | | |
| g. Other | 1.00 | 1.00 | 1.00 |
| h. Average Fare | .54 | .54 | .52 |

*Attach additional pages as necessary to alter or complete description

(1) Reflects actual performance

THREE YEAR FISCAL PLAN

| | 1990-91 | 1991-92 | 1992-93 |
|--------------------|-------------------|-------------------|-------------------|
| Operating Expenses | \$ <u>278,640</u> | \$ <u>300,000</u> | \$ <u>330,000</u> |
| Operating Revenues | | | |
| Sources: LTF | \$ <u>229,565</u> | \$ <u>248,475</u> | \$ <u>275,950</u> |
| STA | <u>5,220</u> | <u>5,400</u> | <u>5,600</u> |
| Federal | <u> </u> | <u> </u> | <u> </u> |
| Fares | <u>43,750</u> | <u>46,000</u> | <u>48,300</u> |
| General Fund | <u> </u> | <u> </u> | <u> </u> |
| Other | <u>105</u> | <u>125</u> | <u>150</u> |
| Total | \$ <u>278,640</u> | \$ <u>300,000</u> | \$ <u>330,000</u> |
| Capital Expenses | \$ <u>64,500</u> | \$ <u>18,000</u> | \$ <u>38,000</u> |
| Capital Revenue | | | |
| Sources: LTF | \$ <u>64,500</u> | \$ <u>18,000</u> | \$ <u>38,000</u> |
| STA | <u> </u> | <u> </u> | <u> </u> |
| Federal | <u> </u> | <u> </u> | <u> </u> |
| Other | <u> </u> | <u> </u> | <u> </u> |
| Total | \$ <u>64,500</u> | \$ <u>18,000</u> | \$ <u>38,000</u> |

FLEET INVENTORY
 (Transit Vehicle Owners Only)

***** (SEE ATTACHED SHEET) *****

| Make & Model | Production Year | # of Veh. | Fuel Type | Seat Capacity | Special Features | | | |
|---------------|-----------------|-----------|-----------|---------------|------------------|----|----|---------------|
| | | | | | AC | EP | WC | Other |
| Chev. Impala | 1985 | 1 | gas | 6 | X | | | Station wagon |
| Chev. Caprice | 1985 | 3 | gas | 6 | X | | | Station wagon |
| Chev. Caprice | 1986 | 1 | gas | 6 | X | | | Station wagon |
| Chev. Impala | 1982 | 1 | gas | 6 | X | | | Station wagon |
| Dodge Caravan | 1989 | 2 | gas | 7 | X | | | Van |
| TOTAL | XXXXXXXXXXXX | 8 | XXXXXX | | | | | |

Vehicles to be Purchased in FY 1989-90

| | | | | | | | | |
|---------------|--|---|--|--|---|---|---|--|
| Van | | 1 | | | X | X | X | |
| Station wagon | | 5 | | | X | | | |

AC = Air Conditioned
 EP = Environmental Package
 WC = Wheelchair Lift

Attachment to Page - 13 - of Fleet Inventory
Fiscal Year 1989-90 TDA Claim

=====

It is the intent of the City of Lodi to purchase from Dial-A-Ride the two Dodge Caravans. These vehicles are inadequate for our present usage.

We intend to purchase five station wagons in the 1989-90 fiscal year. Two of these will be to replace the vans. Two of the vehicles will be used to replace the oldest and most expensive vehicles. The fifth will be either an addition to the fleet or to replace an existing vehicle.

The handicap van is included in an amendment to the 1988-89 claim.

Article 4 Operator TDA Requirements

1. Fare Ratio/Local Support Requirements

All Article 4 claimants are required to maintain a specified ratio of fare revenue to operating cost. In addition, SMTD only is required to maintain a ratio of fare revenue plus local support to operating cost of 32%. See 99268 2 - 99268.19 for details and exemptions pertaining to ratios.

- A. What is this system's required farebox recovery ratio?
10%
- B. Does the attached budget demonstrate that this system will meet its required farebox recovery and for SMTD its farebox plus local support ratios? yes
- C. Has this system utilized its grace year? _____
- D. Has this system been in non-compliance with its required ratio(s)? no
- If yes, identify the year or years _____

2. Extension of Service/New Service

An extension of service or new service is exempt from the required farebox and local support ratios if:

- A. The extension of service or new service has been in operation for less than two full fiscal years. The two-year extension of services exclusion applies until two years after the end of the fiscal year in which the extension of services was put into operation.
- B. The claimant submits a report on the extension of services to the COG within 90 days after the end of the fiscal year. (For details of the report, see 6633.8(b)).

Is an extension of service/new service being claimed? No

If so, has the required report been submitted for the most recently completed full fiscal year? If not, that report must accompany this claim.

3. Fifteen Percent Expenditure Increase (6632)

If any of the line items on the attached budget exceed by more than 15% the expenditure for that same item in the previous year's budget, then an explanation for that increase must be given below. Attach an extra page if necessary.

The addition to the fleet will require additional expense for insurance.

The purchase of four replacement vehicles necessitates the addition of capital funds.

4. Narrative Description (6632)

Please describe in the space below any changes in service characteristics from the previous fiscal year. This should specifically include any substantial increase or decrease in the geographic area served, major changes to the scope of operations, or addition of major new fixed facilities. Please attach an additional page if necessary.

SPECIAL NOTES FOR RATIO CALCULATIONS

SMTD - Exclude certain costs and fares as specified in the most recent Compliance Audit Report.

Lodi - Exclude County service when calculating fares and expenses.

Article 8 Contractor TDA Requirements

For contracted transportation service providers, the San Joaquin County Council of Governments' Executive Board has waived the farebox and local support ratios as it is empowered to do by 99405(c). The COG Board has established a two-step process.

1. Match Requirement

For any Article 8 transit claim, no more than 90% of the total operating funds (minus depreciation) in the budget may be TDA (LTF and STA) derived. The ten percent or more matching funds may come from any other source available to the claimant besides TDA.

2. Operating Cost Per Passenger Objective

To receive an amount of TDA operating funds (LTF and STA combined) in excess of what was claimed the previous fiscal year, the claimant must establish an operating cost per passenger objective for the fiscal year of the claim. The objective should be a realistic one based on current and past system performance, but should be low enough to represent an "improvement" when warranted. The COG Executive Board will adopt the systemwide operating cost per passenger objective for the fiscal year of the claim.

If the system failed to meet its operating cost per passenger objective in the fiscal year prior to the fiscal year of the claim, then the claimant is only eligible to file a claim for the level of TDA operating funding received in that prior fiscal year. In the case of a unified transit system, each claimant would be limited to the prior year's level of TDA operating funding. If a system wishes to be eligible for increased TDA operating funding in a future fiscal year, then the claimant should identify an operating cost per passenger objective.

- i. What was the level of TDA operating funding received in the previous fiscal year for this system by this claimant (LTF plus STA)? \$ _____
- ii. Does the attached budget information demonstrate at least a 10% match of non-TDA funds? _____
- iii. Is this claim requesting more TDA operating funds than were received for this system by this claimant in the previous fiscal year? _____
- iv. If yes, did the system meet its operating cost per passenger objective in the previous fiscal year? _____

An affirmative answer should be documented in Part v. on the next page.

- v. What was last year's Operating Cost per Passenger Objective? _____ What was the actual operating cost per passenger? . . .
- a. FY 1988-89 Operating Cost \$ _____
- b. Total Passengers _____
- c. Operating Cost Per Passenger (a / b) \$ _____
- vi. What is the Operating Cost per Passenger Objective for this claim? . . .
- d. Budgeted Operating Cost \$ _____
- e. Estimated Total Passengers _____
- f. Calculated Operating Cost per Passenger (d / e) \$ _____
- g. FY 1989-90 OPERATING COST PER PASSENGER OBJECTIVE \$ _____
- h. If this claim is for a unified transit system (see footnote), has the contributing claimant been appraised of the planned systemwide objective set in g. above? _____

3. Fifteen Percent Expenditure Increase (6632)

If any of the line items on the attached budget exceed by more than 15% the expenditure for that same item in the previous year's budget, then an explanation for that increase must be given below. Attach an additional page if necessary.

4. Narrative Description (6632)

Please describe on an attached page any changes in service characteristics from the previous fiscal year. This should specifically include any substantial increase or decrease in the geographic area served, major changes to the scope of operations, or addition of major new fixed facilities.

IMPORTANT: If this claim is for a unified transit system (per definition p. 17a), all calculations and numbers on (2)iv. and (2)v. must include both City and County totals. Also, contributing claimants to unified transit systems should not use this page: use page 17a instead.

ARTICLE 8 CONTRACTOR TDA REQUIREMENTS (CONTRIBUTING CLAIMANTS)

- I. In the case of a "unified transit system," this page is to be used by the "contributing claimant" rather than page 17. A "unified transit system" is defined as one which has the same fare structure throughout the service area, but whose TDA expenses are claimed separately by two different TDA claimants. Additionally, to qualify as a unified transit system, all system TDA funding must be claimed under Article 8 (both claimants). "Contributing claimant" is defined as the claimant contributing a minority of the unified transit system's TDA funds. The claimant furnishing the majority of TDA funds is defined as the "primary claimant."

Currently, the following local transit services qualify as unified transit systems:

| <u>FY 1989-90 Unified Transit Systems</u> | <u>This Page Used by:</u> |
|---|---------------------------|
| Tracy Trans | County |
| Tracy Taxi | County |
| Escalon Public Transit System | County |

- II. 1. Name of unified transit system _____
2. Systemwide operating cost per passenger objective for FY 1989-90 identified in primary claimant's adopted transit claim (from that claim, page 17, (2) vi. g.) _____
3. Date of primary claimant's adopted transit claim (or anticipated future date, if not yet adopted)

IMPORTANT:

The operating cost per passenger objective identified in 3. above will be applied uniformly to the total of City and County TDA funds used by the unified transit system, to determine eligibility for increased TDA funding as explained on page 16. Separate calculations will not be done for City and County.

PART II - PEDESTRIAN AND BICYCLE PROJECTS

LOCAL TRANSPORTATION FUND

| <u>Project Title and Description</u> | <u>Project Limits</u> | <u>LTF Cost</u> <u>Total Cost</u> |
|--------------------------------------|-----------------------|--------------------------------------|
| | | |

STATE TRANSIT ASSISTANCE FUND

| <u>Project Title and Description</u> | <u>Project Limits</u> | <u>STA Cost</u> <u>Total Cost</u> |
|--------------------------------------|-----------------------|--------------------------------------|
| | | |

PART III - ROAD AND STREET PROJECTS

Please provide the requested information for each project being identified for Transportation Development Act funding.

LOCAL TRANSPORTATION FUND

| <u>Project Title and Description</u> | <u>Project Limits</u> | <u>LTF Cost</u> <u>Total Cost</u> |
|--------------------------------------|-----------------------|--------------------------------------|
| Administration | | 3,000 |
| | | 1,464,112 |
| | | 2,049,555 |

(Use Additional Page if Necessary)

STATE TRANSIT ASSISTANCE FUND

| <u>Project Title and Description</u> | <u>Project Limits</u> | <u>STA Cost</u> <u>Total Cost</u> |
|--------------------------------------|-----------------------|--------------------------------------|
| | | |

(Use Additional page if Necessary)

PART III - ROAD AND STREET PROJECTS

Please provide the requested information for each project being identified for Transportation Development Act funding.

LOCAL TRANSPORTATION FUND

| <u>Project Title and Description</u> | <u>Project Limits</u> | <u>LTF Cost</u> <u>Total Cost</u> |
|--|---|--------------------------------------|
| Harney Lane Overlay | *Hutchins to 400' w/Stockton | 75,000 |
| Lockeford St. Overlay | *SPRR to Cherokee Ln. | 115,000 |
| Ham Lane Overlay & Operational Improvements | *Highway 12 to Vine St. | 195,000 |
| Pacific Ave. Overlay Walnut & Oak St. Overlay | *Walnut to Elm *Ham to Pacific | 95,000 |
| Tokay St. Overlay | Lower Sacramento to Mills | 83,000 |
| Railroad Crossing Improvements | *Loma Dr *Cherokee Ln. Cluff Ave. | 8,000 9,000 12,000 |
| Overlay Analysis/Design | | 6,000 |
| Street Master Plan | | 20,000 |
| Street Maintenance | | 149,555 |
| *Work in progress | | |

(Use Additional Page if Necessary)

STATE TRANSIT ASSISTANCE FUND

| <u>Project Title and Description</u> | <u>Project Limits</u> | <u>STA Cost</u> <u>Total Cost</u> |
|--------------------------------------|-----------------------|--------------------------------------|
| | | |

(Use Additional page if Necessary)

PART III - ROAD AND STREET PROJECTS

Please provide the requested information for each project being identified for Transportation Development Act funding.

LOCAL TRANSPORTATION FUND

| <u>Project Title and Description</u> | <u>Project Limits</u> | <u>LTF Cost</u> <u>Total Cost</u> |
|---|---|--|
| Traffic Signals (FAU Match) (FAU Match) | *Victor Rd. & Cluff Ave. *Lodi Ave. & Lower Sacramento Rd. *Elm St. & Lower Sacramento Rd. Turner Rd. & Stockton St. | 5 90,000 12,000 18,000 31,000 |
| Hutchins Street Improvements | *Tokay St. to Lodi Ave. Lodi Ave. to Pine St. | 300,000 76,000 |
| Lower Sacramento Rd. Operational Improvements (FAU match) | *St. Mortiz to Turner Rd. | 30,000 |
| Pine Street Overlay | *SPRR to Cherokee Lane | 223,000 |
| Stockton Street Overlay | Lodi Ave. to Lockeford St. | 176,000 |
| li Ave./Ham Lane Intersection Overlay | | 19,000 |
| Lodi Ave. Overlay | Beckman to Kelly | 25,000 |
| Lodi Ave. Overlay | Main to Central | 152,000 |
| Church St. Overlay | *Highway 12 to Mendicino | 71,000 |
| *Work in progress | | |

(Use Additional Page if Necessary)

STATE TRANSIT ASSISTANCE FUND

| <u>Project Title and Description</u> | <u>Project Limits</u> | <u>STA Cost</u> <u>Total Cost</u> |
|--------------------------------------|-----------------------|--------------------------------------|
| | | |

(Use Additional page if Necessary)

PART II - PEDESTRIAN AND BICYCLE PROJECTS

LOCAL TRANSPORTATION FUND

| <u>Project Title and Description</u> | <u>Project Limits</u> | <u>LTF Cost</u> <u>Total Cost</u> |
|--------------------------------------|-----------------------|--------------------------------------|
| Sidewalk Upgrading | Varies | $\frac{19,305}{50,000}$ |

STATE TRANSIT ASSISTANCE FUND

| <u>Project Title and Description</u> | <u>Project Limits</u> | <u>STA Cost</u> <u>Total Cost</u> |
|--------------------------------------|-----------------------|--------------------------------------|
| | | |

PART IV - OTHER PURPOSES

It is possible that a claimant may wish to expend TDA funds for purposes allowed within the Act, but not covered by the three previous parts. For instance, TDA funds may be claimed under Article 8, 99400(b) to subsidize AMTRAK service in a community, or under 99400(d) for administration and planning costs for Article 8 transit services. To complete this section, please identify the project, the purpose of the project, the estimated cost, and the fund from which money is being claimed. It is advisable to communicate with COG staff before completing this section.

CITY COUNCIL

DAVID M. HINCHMAN, Mayor
JAMES W. PINKERTON, Jr.
Mayor Pro Tempore
PHILLIP A. PENNINO
JACK A. SIEGLOCK
JOHN R. (Randy) SNIDER

CITY OF LODI

CITY HALL, 221 WEST PINE STREET
P.O. BOX 3006
LODI, CALIFORNIA 95241-1910
(209) 334-5634
FAX (209) 333-6795
February 25, 1991

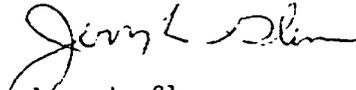
THOMAS A. PETERSON
City Manager
ALICE M. REIMCHE
City Clerk
BOB McNATT
City Attorney

Nancy Miller
Traffic Planner
San Joaquin County Council of Governments
1860 E. Hazelton Avenue
Stockton, CA 95205

Dear Nancy:

Enclosed is a revised 1989-90 TDA claim for the operation of our Dial-A-Ride system. This is in accordance with our previous discussions.

Sincerely,


Jerry L. Glenn
Assistant City Manager

JLG:br

Enclosures

ACMLT210

RESOLUTION NO. 91-29

A RESOLUTION OF THE LODI CITY COUNCIL
APPROVING THE CITY OF LODI'S REVISED 1989-90
TRANSPORTATION DEVELOPMENT ACT CLAIM FOR
LOCAL TRANSPORTATION FUNDS

WHEREAS, on September 6, 1989, the Lodi City Council adopted Resolution No. 89-115 approving the City's 1989-90 Transportation Development Act (TDA) claim for Local Transportation Funds (LTF), and State Transit Assistance (STA); and

WHEREAS, subsequent to approval of the City's 1989-90 TDA Claim by the San Joaquin County Council of Governments, the Council of Governments advised the City that they wished to have the Claim revised and resubmitted to more clearly reflect how the City actually used the funds received;

NOW, THEREFORE, BE IT RESOLVED, that the Lodi City Council hereby approves the City of Lodi's revised 1989-90 Transportation Development Act (TDA) claim in the amount of \$1,778,790, which transfers \$4,927 of STA funds from Transit purposes to Streets and Roads purposes; and which transfers \$4,927 of Local Transportation Funds from Streets and Roads to Transit purposes.

BE IT FURTHER RESOLVED, that the Lodi City Council does hereby authorize the City Manager to execute the revised Claim on behalf of the City of Lodi.

Dated: February 20, 1991

I hereby certify that Resolution No. 91-29 was passed and adopted by the City Council of the City of Lodi in a regular meeting held February 20, 1991 by the following vote:

Ayes: Council Members - Pennino, Pinkerton, Sieglock, Snider
and Hinchman (Mayor)

Noes: Council Members - None

Absent: Council Members - None

Alice M. Reimche
Alice M. Reimche
City Clerk

91-29

RES9129/TXTA.02J

The Foregoing Document Is Certified
To Be A Correct Copy Of The Original
On File In This Office.

Jennifer M. Perrin
Deputy City Clerk, City Of Lodi
By *Jennifer M. Perrin*
Dated *2/21/91*

FISCAL YEAR 1989-90

SAN JOAQUIN COUNTY COG
TRANSPORTATION DEVELOPMENT ACT
CLAIM FORMS AND GUIDELINES

FOR

STATE TRANSIT ASSISTANCE FUND (STA)

AND

LOCAL TRANSPORTATION FUND (LTF - TDA)

LOCAL TRANSPORTATION FUND

TO: San Joaquin County Council of Governments
1860 East Hazelton Avenue
Stockton, CA 95205

FROM: Applicant: City of Lodi

Address: 221 W. Pine St., P. O. Box 3006, Lodi, CA 95241-1910
(City, Zip)

Contact Person: Jerry L. Glenn Phone: 209/333-6700
Assistant City Manager

The City of Lodi hereby requests, in accordance with Chapter 1400, Statutes 1971 and applicable rules and regulations, that its annual transportation claim be approved in the amount of \$ 1,778,790 for fiscal year 1989-90, to be drawn from the Local Transportation Fund.

When approved, please transmit this claim to the County Auditor for payment. Approval of the claim and payment by the County Auditor to this applicant is subject to such monies being on hand and available for distribution, and to the provisions that such monies will be used only in accordance with the terms of the approved annual financial plan.

The claimant certifies that this Local Transportation Fund claim and the financial information contained therein, is reasonable and accurate to the best of my knowledge, and that the aforementioned information indicates the eligibility of this claimant for funds for the fiscal year of the application pursuant to CAC Section 6634 and 6734.

APPROVED:

San Joaquin County Council
of Governments

By: PETER D. VERDOORN

Title: Executive Director

Date: _____ 19__

Applicant: CITY OF LODI

Signed: Thos. A. Peterson

Name: Thomas A. Peterson
City Manager

Title: _____

Date: Feb. 21 19 91

LOCAL TRANSPORTATION FUND

TO: San Joaquin County Council of Governments
1860 East Hazelton Avenue
Stockton, CA 95205

FROM: Applicant: City of Lodi

Address: 221 W. Pine St., P. O. Box 3006, Lodi, CA 95241-1910
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Contact Person: Jerry L. Glenn Phone: 209/333-6700

Assistant City Manager

The City of Lodi hereby requests, in accordance with Chapter 1400, Statutes 1971 and applicable rules and regulations, that its annual transportation claim be approved in the amount of \$ 1,778,790 for fiscal year 1989-90, to be drawn from the Local Transportation Fund.

When approved, please transmit this claim to the County Auditor for payment. Approval of the claim and payment by the County Auditor to this applicant is subject to such monies being on hand and available for distribution, and to the provisions that such monies will be used only in accordance with the terms of the approved annual financial plan.

The claimant certifies that this Local Transportation Fund claim and the financial information contained therein, is reasonable and accurate to the best of my knowledge, and that the aforementioned information indicates the eligibility of this claimant for funds for the fiscal year of the application pursuant to CAC Section 6634 and 6734.

APPROVED:

San Joaquin County Council
of Governments

By: PETER D. VERDOORN

Title: Executive Director

Date: _____ 19__

Applicant: CITY OF LODI

Signed: Thomas A. Peterson

Name: Thomas A. Peterson
City Manager

Title: _____

Date: Feb. 21 19 91

STATE TRANSIT ASSISTANCE CLAIM

TO: San Joaquin County Council of Governments
1860 East Hazelton Avenue
Stockton, CA 95205

FROM: Applicant: City of Lodi

Address: 221 W. Pine St., P. O. Box 3006, Lodi, CA 95241-1910
(City, Zip)

Contact Person: Jerry L. Glenn Phone: 209/333-6700
Assistant City Manager

This claimant, qualified pursuant to Section 99203 and 99315 of the Public Utilities Code, hereby requests, in accordance with Chapter 1400, Statutes of 1971 as amended, and applicable rules and regulations, that an allocation be made in the amount of \$ 4,927 for fiscal year 1989-90, to be drawn from the State Transit Assistance trust fund of San Joaquin County for the following purposes and in the following respective amounts:

| Purposes | Amounts |
|-------------------|---------|
| Streets and Roads | \$4,927 |

Allocation instruction and payment by the County Auditor to this claimant are subject to such monies being on hand and available for distribution, and to the provisions that such monies will be used only in accordance with the terms of the approved claim.

The claimant certifies that this State Transit Assistance Fund Claim and the financial information contained herein, is reasonable and accurate to the best of my knowledge, and that the aforementioned information indicates the eligibility of this claimant for funds for the fiscal year of the application pursuant to CAC Section 6634 and 6734.

APPROVED:

San Joaquin County Council
of Governments

By: PETER D. VERDOORN

Title: Executive Director

Date: _____ 19__

Applicant: CITY OF LODI

Signed: Thomas A. Peterson

Name: Thomas A. Peterson
City Manager

Title: _____

Date: Feb. 21 19 91

STATE TRANSIT ASSISTANCE CLAIM

TO: San Joaquin County Council of Governments
1860 East Hazelton Avenue
Stockton, CA 95205

FROM: Applicant: City of Lodi
Address: 221 W. Pine St., P. O. Box 3006, Lodi, CA 95241-1910
(City, Zip)

Contact Person: Jerry L. Glenn Phone: 209/333-6700
Assistant City Manager

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| Purposes | Amounts |
|-------------------|---------|
| Streets and Roads | \$4,927 |

Allocation instruction and payment by the County Auditor to this claimant are subject to such monies being on hand and available for distribution, and to the provisions that such monies will be used only in accordance with the terms of the approved claim.

The claimant certifies that this State Transit Assistance Fund Claim and the financial information contained herein, is reasonable and accurate to the best of my knowledge, and that the aforementioned information indicates the eligibility of this claimant for funds for the fiscal year of the application pursuant to CAC Section 6634 and 6734.

APPROVED:

San Joaquin County Council
of Governments

By: PETER D. VERDOORN

Title: Executive Director

Date: _____ 19__

Applicant: CITY OF LODI

Signed: Thomas A. Peterson

Name: Thomas A. Peterson

Title: City Manager

Date: Feb. 21 19 91

PART I - PUBLIC TRANSPORTATION

Please Circle either: Article 4 operator or Article 8 contractor

FINANCIAL INFORMATION

| I. OPERATING REVENUE | 1988-89 | | 1989-90 Budget |
|--|----------------|----------|-------------------|
| | ACTUAL | ESTIMATE | |
| | Please Circle | | |
| 401 Passenger Fares | 39,450 | | 39,313 |
| 402 Special Transit Fares | | | |
| 403 School Bus Service Revenues | | | |
| 404 Freight Tariffs | | | |
| 405 Charter Service Revenues | | | |
| 406 Auxiliary Transportation Revenues | | | |
| 407 Non-Transportation Revenues | 1,225 | | 113 |
| 408 Taxes Levied Directly by Transit System (Specify) | | | |
| 409 Local Cash Grants & Reimbursements (Specify) Local Transportation Fund (LTF) | 201,460 | | 245,200 |
| 410 Local Special Fare Assistance | | | |
| 411 State Cash Grants & Reimbursements (Specify) State Transit Assistance Fund (STA) | 6,950 | | |
| 412 State Special Fare Assistance | | | |
| 413 Federal Cash Grants & Reimbursements (Specify) UMTA Grants | | | |
| 430 Contributed Services | | | |
| 440 (Specify) Sale of Property | 1,600 | | |
| Reimbursements | 4,658 | | |
| TOTAL | 255,343 | | 284,626 |
| II. CAPITAL REVENUE | | | |
| 464 Federal Capital Grants & Subsidies (Specify) | | | |
| State Capital Grants & Subventions (Specify) State Transit Assistance Funds (STA) | | | |
| Local Capital Provisions (Specify) Local Transportation Fund (LTF) | 65,000 | | 55,100 |
| Non-Governmental Donations Sale of Property | | | 29,759 |
| TOTAL | 65,000 | | 84,859 |

TRANSPORTATION DEVELOPMENT ACT APPORTIONMENTS

I. Local Transportation Fund Available Apportionment

| | |
|---|---------------------|
| A. Area Apportionment 1989-90 | \$ 926,652 |
| B. Pedestrian/Bicycle Apportionment | <u>19,305</u> |
| C. Previous Years' Unclaimed Apportionment | <u>41,933</u> |
| D. Unexpended Carryover | <u>790,900</u> |
| E. Total Available for 1989-90 Claim(s) | <u>1,778,790</u> |
| F. less any LTF Already Claimed 1989-90 | <u>-0-</u> |
| G. TOTAL AVAILABLE FOR THIS CLAIM (Also enter on page 8, 1st column) | <u>\$ 1,778,790</u> |

II. State Transit Assistance Fund Available Apportionment

| | |
|---|-----------------------------|
| A. Area Apportionment 1989-90 | \$ _____ |
| B. Special Transit Apportionment 1989-90 | <u> </u> |
| C. Previous Years' Unclaimed Apportionment | <u>4,927</u> |
| D. Unexpended Carryover | <u>-0-</u> |
| E. Total Available for 1989-90 Claim(s) | <u>4,927</u> |
| F. less any STA Already Claimed 1989-90 | <u>-0-</u> |
| G. TOTAL AVAILABLE FOR THIS CLAIM (Also enter on page 8, 2nd column) | <u>\$ 4,927</u> |

TRANSPORTATION DEVELOPMENT ACT ALLOCATIONS

| <u>Claim Purpose</u> | I. LTF | II. STA |
|---|-----------|---------|
| I. PUBLIC TRANSPORTATION | | |
| Article 4 (99260)-Operator | 300,300 | |
| Article 8 (99400(c)) Contractor operating | | |
| Article 8 (99400(e)) Contractor capital | | |
| II. PEDESTRIAN AND BICYCLE | | |
| Article 3 (99234) | | N/A |
| Article 8 (99400(a)) | 19,305 | |
| III. ROADS AND STREETS | | |
| Article 8 (99400(a)) | 1,459,185 | 4,927 |
| IV. OTHER | | |
| Article 8 (99400(b) or 99400(d) | | N/A |
| //////////////////////////////////// | | |
| TOTAL THIS CLAIM | 1,778,790 | 4,927 |
| TOTAL AVAILABLE FOR THIS CLAIM (from page 7, I. and II. G.) | 1,778,790 | 4,927 |
| UNCLAIMED APPORTIONMENT (TOTAL AVAIL. less TOTAL THIS CLAIM) | -0- | -0- |

IMPORTANT: To avoid accidental overpayment, please identify in the space below any unexpended carryover included in the amounts being claimed above.

Road and Streets includes \$790,900 of unexpended LTF money.

OPERATIONAL INFORMATION*

| | Actual FY 1987-88 | Actual/Est. FY 1988-89 | Proposed (1) FY 1989-90 |
|--|----------------------|---------------------------|----------------------------|
| 1. <u>Patronage</u> | | | |
| a. Total Passengers | 76,207 | 73,115 | 77,605 |
| b. Revenue Passengers | 5,510 | 4,935 | 6,928 |
| c. Youth Passengers | | | |
| d. Elderly Passengers | 70,697 | 65,907 | 68,610 |
| e. Handicapped ^{County} Passengers | | 2,273 | 2,067 |
| 2. <u>Vehicle Miles</u> | | | |
| a. Total Vehicle Miles | 140,069 | 141,767 | 156,104 |
| b. Revenue Vehicle Miles | | | |
| 3. <u>Revenue Vehicle Hours</u> | | | |
| 4. <u>Revenue Vehicle Fuel Consumption</u> | | | |
| a. Diesel | | | |
| b. Gasoline | 13,819 | 13,714 | 14,183 |
| c. Liquid Natural Compressed Gas | | | |
| 5. <u>Fare Structure</u> | | | |
| a. Base | .50 | .50 | .50 |
| b. Zone | | | |
| c. Youth | | | |
| d. Senior | .50 | .50 | .50 |
| e. Handicapped | .50 | .50 | .50 |
| f. Monthly Pass | | | |
| g. Other | 1.00 | 1.00 | 1.00 |
| h. Average Fare | .54 | .54 | .52 |

*Attach additional pages as necessary to alter or complete description
 (1) Reflects actual performance

THREE YEAR FISCAL PLAN

| | 1990-91 | 1991-92 | 1992-93 |
|--------------------|-------------------|-------------------|-------------------|
| Operating Expenses | \$ <u>278,640</u> | \$ <u>300,000</u> | \$ <u>330,000</u> |
| Operating Revenues | | | |
| Sources: LTF | \$ <u>229,565</u> | \$ <u>248,475</u> | \$ <u>275,950</u> |
| STA | <u>5,220</u> | <u>5,400</u> | <u>5,600</u> |
| Federal | <u> </u> | <u> </u> | <u> </u> |
| Fares | <u>43,750</u> | <u>46,000</u> | <u>48,300</u> |
| General Fund | <u> </u> | <u> </u> | <u> </u> |
| Other | <u>105</u> | <u>125</u> | <u>150</u> |
| Total | \$ <u>278,640</u> | \$ <u>300,000</u> | \$ <u>330,000</u> |
| Capital Expenses | \$ <u>64,500</u> | \$ <u>18,000</u> | \$ <u>38,000</u> |
| Capital Revenue | | | |
| Sources: LTF | \$ <u>64,500</u> | \$ <u>18,000</u> | \$ <u>38,000</u> |
| STA | <u> </u> | <u> </u> | <u> </u> |
| Federal | <u> </u> | <u> </u> | <u> </u> |
| Other | <u> </u> | <u> </u> | <u> </u> |
| Total | \$ <u>64,500</u> | \$ <u>18,000</u> | \$ <u>38,000</u> |

FLEET INVENTORY
 (Transit Vehicle Owners Only)

***** (SEE ATTACHED SHEET) *****

| Make & Model | Production Year | # of Veh. | Fuel Type | Seat Capacity | Special Features | | | |
|---------------|-----------------|-----------|-----------|---------------|------------------|----|----|---------------|
| | | | | | AC | EP | WC | Other |
| Chev. Impala | 1985 | 1 | gas | 6 | X | | | Station wagon |
| Chev. Caprice | 1985 | 3 | gas | 6 | X | | | Station wagon |
| Chev. Caprice | 1986 | 1 | gas | 6 | X | | | Station wagon |
| Chev. Impala | 1982 | 1 | gas | 6 | X | | | Station wagon |
| Dodge Caravan | 1989 | 2 | gas | 7 | X | | | Van |
| TOTAL | XXXXXXXXXXXX | 8 | XXXXXX | | | | | |

Vehicles to be Purchased in FY 1989-90

| | | | | | | | |
|---------------|---|--|--|---|---|---|--|
| Van | 1 | | | X | X | X | |
| Station wagon | 5 | | | X | | | |

AC = Air Conditioned
 EP = Environmental Package
 WC = Wheelchair Lift

Attachment to Page - 13 - of Fleet Inventory
Fiscal Year 1989-90 TDA Claim

=====

It is the intent of the City of Lodi to purchase from Dial-A-Ride the two Dodge Caravans. These vehicles are inadequate for our present usage.

We intend to purchase five station wagons in the 1989-90 fiscal year. Two of these will be to replace the vans. Two of the vehicles will be used to replace the oldest and most expensive vehicles. The fifth will be either an addition to the fleet or to replace an existing vehicle.

The handicap van is included in an amendment to the 1988-89 claim.

Article 4 Operator TDA Requirements

1. Fare Ratio/Local Support Requirements

All Article 4 claimants are required to maintain a specified ratio of fare revenue to operating cost. In addition, SMTD only is required to maintain a ratio of fare revenue plus local support to operating cost of 32%. See 99268.2 - 99268.19 for details and exemptions pertaining to ratios.

- A. What is this system's required farebox recovery ratio?
10%
- B. Does the attached budget demonstrate that this system will meet its required farebox recovery and for SMTD its farebox plus local support ratios? yes
- C. Has this system utilized its grace year? _____
- D. Has this system been in non-compliance with its required ratio(s)? no

If yes, identify the year or years _____

2. Extension of Service/New Service

An extension of service or new service is exempt from the required farebox and local support ratios if:

- A. The extension of service or new service has been in operation for less than two full fiscal years. The two-year extension of services exclusion applies until two years after the end of the fiscal year in which the extension of services was put into operation.
- B. The claimant submits a report on the extension of services to the COG within 90 days after the end of the fiscal year. (For details of the report, see 6633.8(b)).

Is an extension of service/new service being claimed? No

If so, has the required report been submitted for the most recently completed full fiscal year? If not, that report must accompany this claim.

3. Fifteen Percent Expenditure Increase (6632)

If any of the line items on the attached budget exceed by more than 15% the expenditure for that same item in the previous year's budget, then an explanation for that increase must be given below. Attach an extra page if necessary.

The addition to the fleet will require additional expense for insurance.

The purchase of four replacement vehicles necessitates the addition of capital funds.

4. Narrative Description (6632)

Please describe in the space below any changes in service characteristics from the previous fiscal year. This should specifically include any substantial increase or decrease in the geographic area served, major changes to the scope of operations, or addition of major new fixed facilities. Please attach an additional page if necessary.

SPECIAL NOTES FOR RATIO CALCULATIONS

SMTD - Exclude certain costs and fares as specified in the most recent Compliance Audit Report.

Lodi - Exclude County service when calculating fares and expenses.

Article 8 Contractor TDA Requirements

For contracted transportation service providers, the San Joaquin County Council of Governments' Executive Board has waived the farebox and local support ratios as it is empowered to do by 99405(c). The COG Board has established a two-step process.

1. Match Requirement

For any Article 8 transit claim, no more than 90% of the total operating funds (minus depreciation) in the budget may be TDA (LTF and STA) derived. The ten percent or more matching funds may come from any other source available to the claimant besides TDA.

2. Operating Cost Per Passenger Objective

To receive an amount of TDA operating funds (LTF and STA combined) in excess of what was claimed the previous fiscal year, the claimant must establish an operating cost per passenger objective for the fiscal year of the claim. The objective should be a realistic one based on current and past system performance, but should be low enough to represent an "improvement" when warranted. The COG Executive Board will adopt the systemwide operating cost per passenger objective for the fiscal year of the claim.

If the system failed to meet its operating cost per passenger objective in the fiscal year prior to the fiscal year of the claim, then the claimant is only eligible to file a claim for the level of TDA operating funding received in that prior fiscal year. In the case of a unified transit system, each claimant would be limited to the prior year's level of TDA operating funding. If a system wishes to be eligible for increased TDA operating funding in a future fiscal year, then the claimant should identify an operating cost per passenger objective.

- i. What was the level of TDA operating funding received in the previous fiscal year for this system by this claimant (LTF plus STA)? \$ _____
- ii. Does the attached budget information demonstrate at least a 10% match of non-TDA funds? _____
- iii. Is this claim requesting more TDA operating funds than were received for this system by this claimant in the previous fiscal year? _____
- iv. If yes, did the system meet its operating cost per passenger objective in the previous fiscal year? _____

An affirmative answer should be documented in Part v. on the next page.

- v. What was last year's Operating Cost per Passenger Objective? _____ What was the actual operating cost per passenger? . . .
- a. FY 1988-89 Operating Cost \$ _____
 - b. Total Passengers _____
 - c. Operating Cost Per Passenger (a / b) \$ _____

- vi. What is the Operating Cost per Passenger Objective for this claim? . . .
- d. Budgeted Operating Cost \$ _____
 - e. Estimated Total Passengers _____
 - f. Calculated Operating Cost per Passenger (d / e) \$ _____
 - g. FY 1989-90 OPERATING COST PER PASSENGER OBJECTIVE \$ _____
 - h. If this claim is for a unified transit system (see footnote), has the contributing claimant been appraised of the planned systemwide objective set in g. above? _____

3. Fifteen Percent Expenditure Increase (6632)

If any of the line items on the attached budget exceed by more than 15% the expenditure for that same item in the previous year's budget, then an explanation for that increase must be given below. Attach an additional page if necessary.

4. Narrative Description (6632)

Please describe on an attached page any changes in service characteristics from the previous fiscal year. This should specifically include any substantial increase or decrease in the geographic area served, major changes to the scope of operations, or addition of major new fixed facilities.

IMPORTANT: If this claim is for a unified transit system (per definition p. 17a), all calculations and numbers on (2)iv. and (2)v. must include both City and County totals. Also, contributing claimants to unified transit systems should not use this page: use page 17a instead.

ARTICLE 8 CONTRACTOR TDA REQUIREMENTS (CONTRIBUTING CLAIMANTS)

- I. In the case of a "unified transit system," this page is to be used by the "contributing claimant" rather than page 17. A "unified transit system" is defined as one which has the same fare structure throughout the service area, but whose TDA expenses are claimed separately by two different TDA claimants. Additionally, to qualify as a unified transit system, all system TDA funding must be claimed under Article 8 (both claimants). "Contributing claimant" is defined as the claimant contributing a minority of the unified transit system's TDA funds. The claimant furnishing the majority of TDA funds is defined as the "primary claimant."

Currently, the following local transit services qualify as unified transit systems:

| <u>FY 1989-90 Unified Transit Systems</u> | <u>This Page Used by:</u> |
|---|---------------------------|
| Tracy Trans | County |
| Tracy Taxi | County |
| Escalon Public Transit System | County |

- II. 1. Name of unified transit system _____
2. Systemwide operating cost per passenger objective for FY 1989-90 identified in primary claimant's adopted transit claim (from that claim, page 17, (2) vi. g.) _____
3. Date of primary claimant's adopted transit claim (or anticipated future date, if not yet adopted)

IMPORTANT:

The operating cost per passenger objective identified in 3. above will be applied uniformly to the total of City and County TDA funds used by the unified transit system, to determine eligibility for increased TDA funding as explained on page 16. Separate calculations will not be done for City and County.

PART II - PEDESTRIAN AND BICYCLE PROJECTS

LOCAL TRANSPORTATION FUND

| <u>Project Title and Description</u> | <u>Project Limits</u> | <u>LTF Cost</u> <u>Total Cost</u> |
|--------------------------------------|-----------------------|--------------------------------------|
| | | |

STATE TRANSIT ASSISTANCE FUND

| <u>Project Title and Description</u> | <u>Project Limits</u> | <u>STA Cost</u> <u>Total Cost</u> |
|--------------------------------------|-----------------------|--------------------------------------|
| | | |

PART III - ROAD AND STREET PROJECTS

Please provide the requested information for each project being identified for Transportation Development Act funding.

LOCAL TRANSPORTATION FUND

| <u>Project Title and Description</u> | <u>Project Limits</u> | <u>LTF Cost</u> <u>Total Cost</u> |
|--------------------------------------|-----------------------|--------------------------------------|
| Administration | | 3,000 |
| | | <u>1,454,112</u> <u>2,049,555</u> |

(Use Additional Page if Necessary)

STATE TRANSIT ASSISTANCE FUND

| <u>Project Title and Description</u> | <u>Project Limits</u> | <u>STA Cost</u> <u>Total Cost</u> |
|--------------------------------------|-----------------------|--------------------------------------|
| | | |

(Use Additional page if Necessary)

PART III - ROAD AND STREET PROJECTS

Please provide the requested information for each project being identified for Transportation Development Act funding.

LOCAL TRANSPORTATION FUND

| <u>Project Title and Description</u> | <u>Project Limits</u> | <u>LTF Cost</u> <u>Total Cost</u> |
|--|---|--------------------------------------|
| Harney Lane Overlay | *Hutchins to 400' w/Stockton | 75,000 |
| Lockeford St. Overlay | *SPRR to Cherokee Ln. | 115,000 |
| Ham Lane Overlay & Operational Improvements | *Highway 12 to Vine St. | 195,000 |
| Pacific Ave. Overlay Walnut & Oak St. Overlay | *Walnut to Elm *Ham to Pacific | 95,000 |
| Tokay St. Overlay | Lower Sacramento to Mills | 83,000 |
| Railroad Crossing Improvements | *Loma Dr *Cherokee Ln. Cluff Ave. | 3,000 9,000 12,000 |
| Overlay Analysis/Design | | 6,000 |
| Street Master Plan | | 20,000 |
| Street Maintenance | | 149,555 |
| *Work in progress | | |

(Use Additional Page if Necessary)

STATE TRANSIT ASSISTANCE FUND

| <u>Project Title and Description</u> | <u>Project Limits</u> | <u>STA Cost</u> <u>Total Cost</u> |
|--------------------------------------|-----------------------|--------------------------------------|
| | | |

(Use Additional page if Necessary)

PART III - ROAD AND STREET PROJECTS

Please provide the requested information for each project being identified for Transportation Development Act funding.

LOCAL TRANSPORTATION FUND

| Project Title and Description | Project Limits | LTF Cost |
|---|-----------------------------------|------------|
| | | Total Cost |
| Traffic Signals (FAU Match) | *Victor Rd. & Cluff Ave. | \$ 90,000 |
| (FAU Match) | *Lodi Ave. & Lower Sacramento Rd. | 12,000 |
| | *Elm St. & Lower Sacramento Rd. | 18,000 |
| | Turner Rd. & Stockton St. | 31,000 |
| Hutchins Street Improvements | *Tokay St. to Lodi Ave. | 300,000 |
| | Lodi Ave. to Pine St. | 76,000 |
| Lower Sacramento Rd. Operational Improvements (FAU match) | *St. Mortiz to Turner Rd. | 30,000 |
| Pine Street Overlay | *SPRR to Cherokee Lane | 223,000 |
| Stockton Street Overlay | Lodi Ave. to Lockeford St. | 173,000 |
| (li Ave./Ham Lane Intersection Overlay | | 19,000 |
| Lodi Ave. Overlay | Beckman to Kelly | 25,000 |
| Lodi Ave. Overlay | Main to Central | 152,000 |
| Church St. Overlay | *Highway 12 to Mendicino | 71,000 |
| *Work in progress | | |

(Use Additional Page if Necessary)

STATE TRANSIT ASSISTANCE FUND

| Project Title and Description | Project Limits | STA Cost |
|-------------------------------|----------------|------------|
| | | Total Cost |
| | | |

(Use Additional page if Necessary)

PART II - PEDESTRIAN AND BICYCLE PROJECTS

LOCAL TRANSPORTATION FUND

| <u>Project Title and Description</u> | <u>Project Limits</u> | <u>LEF Cost</u> <u>Total Cost</u> |
|--------------------------------------|-----------------------|--------------------------------------|
| Sidewalk Upgrading | Varies | $\frac{19,305}{50,000}$ |

STATE TRANSIT ASSISTANCE FUND

| <u>Project Title and Description</u> | <u>Project Limits</u> | <u>STA Cost</u> <u>Total Cost</u> |
|--------------------------------------|-----------------------|--------------------------------------|
| | | |

PART IV - OTHER PURPOSES

It is possible that a claimant may wish to expend TDA funds for purposes allowed within the Act, but not covered by the three previous parts. For instance, TDA funds may be claimed under Article 8, 99400(b) to subsidize AMTRAK service in a community, or under 99400(d) for administration and planning costs for Article 8 transit services. To complete this section, please identify the project, the purpose of the project, the estimated cost, and the fund from which money is being claimed. It is advisable to communicate with COG staff before completing this section.

JAN 28 '91



San Joaquin County Council of Governments City Manager's Office

Member Agencies: Cities of Escalon, Lathrop, Lodi, Manteca, Ripon, Stockton, Tracy, County of San Joaquin

January 24, 1991

Mr. Thomas A. Peterson
City Manager
221 W. Pine Street
Lodi, CA 95240

Dear Mr. Peterson:

As everyone is well aware, the voters on November 6, 1990 passed Measure K, an issue that we all worked for and supported. The COG is now actively engaged in carrying out the provisions of that measure as the designated Local Transportation Authority.

To assure that we can continue to carry out the provisions of the measure without undue harassment from opponents to the measure, it is necessary to amend the COG's existing Joint Powers Agreement. On January 8th, the COG Board took action to approve the attached changes and directed that the matter be submitted to all member governments for their official ratification.

I would urge that this matter be placed on the next available Council agenda.

Should you have any questions regarding this matter, please feel free to call me.

Very truly yours,

Peter D. Verdoorn
PETER D. VERDOORN
Executive Director

PDV/bm

Attachment

*Hold until further
notice per CM
& Peter Verdoorn*

2/5/91

Proposed amendment to Section 7, subsection b), third paragraph and the addition of subsection c), of the Joint Powers Agreement establishing the San Joaquin County Council of Governments, dated November 1, 1983.

Under no circumstances, except as provided in c) below, shall the SAN JOAQUIN COUNTY COG be empowered to levy taxes nor exercise the right of eminent domain. SAN JOAQUIN COUNTY COG shall apply for available State or Federal support funds, and shall make new and additional applications from time to time as appropriate. If deemed necessary, the COG Board may also establish and collect filing and processing fees in connection with matters to be considered by it.

c) In its role as the SAN JOAQUIN COUNTY LOCAL TRANSPORTATION AUTHORITY the SAN JOAQUIN COUNTY COG shall be empowered to levy and expend tax revenues authorized in SJCLTA Ordinance #91-01 and approved as Measure K on November 6, 1990, by the voters of San Joaquin County. This empowerment shall exist so long as SJCLTA Ordinance 91-01 is in effect, and shall terminate when all SJCLTA Ordinance 91-01 taxes have been levied and expended.

(Changes are reflected by underlining)

SAN JOAQUIN COUNTY COUNCIL OF GOVERNMENTS



1860 East Hazelton Avenue
Stockton, California 95202
Telephone (209) 468-3913

FROM the desk of: _____

TO: _____

- For Your Information
- Reply Requested
- Information Per Request

Comments:

Dear Tom:

This letter and attachment are substitutes for the early letter and amendment. These should clarify what we are doing. If you plan on using the letter and attachment in your Council packet, please use the ones attached here.

Thanks,

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San Joaquin County Council of Governments

Member Agencies: Cities of Escalon, Lathrop, Lodi, Manteca, Ripon, Stockton, Tracy, County of San Joaquin

FEB 05 '91

City Manager's Office

January 31, 1991

Mr. Thomas A. Peterson
City Manager
221 W. Pine Street
Lodi, CA 95240

Dear Mr. Peterson:

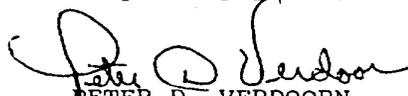
As everyone is well aware, the voters on November 6, 1990 passed Measure K, an issue that we all worked for and supported. The COG is now actively engaged in carrying out the provisions of that measure as the designated Local Transportation Authority.

On January 8th, the COG Board took action to approve the attached changes to our Joint Powers Agreement and directed that the matter be submitted to all member governments for their official ratification. The following technical changes make our JPA consistent with the actions taken by the cities and the County of San Joaquin last year making the COG the Local Transportation Authority.

I would urge that this matter be placed on the next available Council agenda.

Should you have any questions regarding this matter, please feel free to call me.

Very truly yours,


PETER D. VERDOORN
Executive Director

PDV/bm

Attachment

Proposed amendment to the Joint Powers Agreement establishing the San Joaquin County Council of Governments, dated November 1, 1983.

Section 7, subsection b), third paragraph:

Under no circumstances, except as provided in c) below, shall the SAN JOAQUIN COUNTY COG be empowered to levy taxes nor exercise the right of eminent domain. SAN JOAQUIN COUNTY COG shall apply for available State or Federal support funds, and shall make new and additional applications from time to time as appropriate. If deemed necessary, the COG Board may also establish and collect filing and processing fees in connection with matters to be considered by it.

c) In its role as the SAN JOAQUIN COUNTY LOCAL TRANSPORTATION AUTHORITY the SAN JOAQUIN COUNTY COG shall be empowered to levy and expend tax revenues authorized in SJCLTA Ordinance #91-01 and approved as Measure K on November 6, 1990, by the voters of San Joaquin County. This empowerment shall exist so long as SJCLTA Ordinance 91-01 is in effect, and shall terminate when all SJCLTA Ordinance 91-01 taxes have been levied and expended.

(Changes are reflected by underlining)