



CITY OF LODI

COUNCIL COMMUNICATION

AGENDA TITLE: Approve Transportation Development Act (TDA) Claim for 1991-92

MEETING DATE: December 4, 1991

PREPARED BY: Assistant City Manager

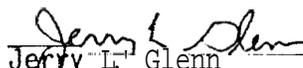
RECOMMENDED ACTION: The City Council adopt a resolution approving the City's 1991-1992 Transportation Development Act, "TDA" claim for local transportation funds, "LTF" and State Transit Assistance, "STA" and authorize the City Manager to sign on behalf of the City.

BACKGROUND INFORMATION: The City's 1991-92 TDA claim requests all of the LTF apportionment which amounts to \$1,767,110 and the STA apportionment which is \$92,916. It is estimated that we will be using approximately **\$345,330** of LTF and \$92,916 STA money for the transit system including purchase of vehicles. The remainder of LTF is used for street purposes.

TDA funds are used in conjunction with other street funds for the transportation improvement program and maintenance of our streets. This claim includes **work** in progress projects as well as street projects that are expected to **be** included in the capital improvement plan which will use the LTF. Projects are shown on the attached list. Showing these projects on our claim allows us the flexibility to use TDA funds. It does not approve these projects for construction. The pedestrian and bicycle apportionment, \$21,700 will be used on the City sidewalk upgrading program together with other funds. Since this project includes pedestrian safety, it meets the requirements of this portion of the claim.

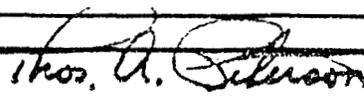
A complete claim form is in the City Managers file, but not included as part of this communication.

FUNDING: N/A


 Jerry L. Glenn
 Assistant City Manager

ACMTDA/TXTA.01V

APPROVED: _____



THOMAS A. PETERSON
 City Manager



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RESOLUTION NO. 91-226
=====

A RESOLUTION OF THE LODI CITY COUNCIL
APPROVING THE CITY OF LODI'S 1991-92
TRANSPORTATION DEVELOPMENT ACT CLAIM
FOR LOCAL TRANSPORTATION FUNDS

=====

BE IT RESOLVED, that the Lodi City Council does hereby approve the City's 1991-92 Transportation Development Act (TDA) claim for Local Transportation Funds (LTF) and State Transit Assistance Funds (STA); and

BE IT FURTHER RESOLVED, that the Lodi City Council does hereby authorize the City Manager to execute the subject Claim on behalf of the City of Lodi.

Dated: December 4, 1991

=====

I hereby certify that Resolution No. 91-226 was passed and adopted by the Lodi City Council in a regular meeting held December 4, 1991 by the following vote:

Ayes: Council Members - Hinchman, Pennino, Sieglock, Snider
and Pinkerton (Mayor)

Noes: Council Members - None

Absent: Council Members - None


Alice M. Reimche
City Clerk

LOCAL TRANSPORTATION FUND

TO: San Joaquin County Council of Governments
1860 East Kazelton Avenue
Stockton, CA 95205

FROM: Applicant: City of Lodi

Address: P. O. Box 3006, Lodi, CA 95241
(City, Zip)

Jerry L. Glenn/Transit (209) 333-6700

Contact Person: Sharon Blaufus/Street Phone: (209) 333-6706

The CITY OF LODI hereby requests, in accordance with Chapter 1400, Statutes 1971 and applicable rules and regulations, that its annual transportation claim be approved in the amount of \$1,667,110 for fiscal year 1991-92, to be drawn from the Local Transportation Fund.

When approved, please transmit this claim to the County Auditor for payment. Approval of the claim and payment by the County Auditor to this applicant is subject to such monies being on hand and available for distribution, and to the provisions that such monies will be used only in accordance with the terms of the approved annual financial plan.

The claimant certifies that this Local Transportation Fund claim and the financial information contained therein, is reasonable and accurate to the best of my knowledge, and that the aforementioned information indicates the eligibility of this claimant for funds for the fiscal year of the application pursuant to CAC Section 6634 and 6734.

San Joaquin County Council
of Governments

By: BARTON MEAYS

Title: Executive Director

Date: _____ 19__

Signed: Thomas A. Peterson

Name: Thomas A. Peterson

Title: City Manager

Date: December 4 19 91

STATE TRANSIT ASSISTANCE CWIM

TO: San Joaquin County Council of Governments
1860 East Hazelton Avenue
Stockton, CA 95205

FROM: Applicant: City of Lodi

Address: P. O. Box 3006, Lodi, CA 95241
(city, Zip)

Contact Person: Jerry L. Glenn Phone: (209) 333-6700

This claimant, qualified pursuant to Sections 99313.6, 99314.5 and 99314.6 of the Public Utilities Code, hereby requests, in accordance with Chapter 1400, Statutes of 1971 as amended, and applicable rules and regulations, that an allocation be made in the amount of \$ 92,916 for fiscal year 1991-92 to be drawn from the State Transit Assistance trust fund of San Joaquin County for the following purposes and in the following respective amounts:

Purposes	Amounts
Dial-A-Ride Transit System	\$92,916

Allocation instruction and payment by the County Auditor to this claimant are subject to such monies being on hand and available for distribution, and to the provisions that such monies will be **used** only in accordance with the terms of the approved claim.

The claimant certifies that this State Transit Assistance Fund Claim and the financial information contained herein, is reasonable and accurate to the best of my knowledge, and that the aforementioned information indicates the eligibility of this claimant for funds for the fiscal year of the application pursuant to CAC Section 6634 and 6734.

APPROVED:

Sari Joaquin County Council
of Governments

By: _____
BARTON MEAYS

Title: Executive Director

Date: _____ 19__

Applicant: City of Lodi

Signed: Thos. A. Peterson

Name: Thomas A. Peterson

Title: City Manager

Date: December 4 1991

TRANSPORTATION DEVELOPMENT ACT APPORTIONMENTS

I. Local Transportation Fund Available Apportionment

A. Area Apportionment 1991-92	\$	<u>1,031,634</u>
B. Pedestrian/Bicycle Apportionment		<u>21,701</u>
C. Previous Years' Unclaimed Apportionment		<u>50C</u>
5. Unexpended Carryover *		<u>703,275</u>
E. Total Available for 1991-92 Claim(s)		<u>1,767,110</u>
F. Less any LTF Already Claimed 1991-92		<u>0</u>
G. TOTAL AVAILABLE FOR THIS CLAIM	\$	<u>1,767,110</u>
(Also enter on page 8, 1st column)		

11. State Transit Assistance Fund Available Apportionment

A. Area Apportionment 1991-92	\$	<u>48,473</u>
B. Special Operator Apportionment 1991-92		<u>1,174</u>
C. Previous Years' Unclaimed Apportionment		<u>43,269</u>
D. Unexpended Carryover		<u>G</u>
E. Total Available for 1991-92 Claim(s)		<u>92,916</u>
F. Less any STA Already Claimed 1991-92		<u>0</u>
G. TOTAL AVAILABLE FOR THIS CLAIM	\$	<u>92,916</u>
(Also enter on page 8, 2nd column)		

* Amount shown as unexpended on July 1, 1991

TRANSPORTATION DEVELOPMENT ACT ALLOCATIONS

<u>Claim Purpose</u>	I. LTF	II. STA
I. PUBLIC TRANSPORTATION		
Article 4 (99260)-operator ¹	<u>316,759</u>	<u>92,916</u>
Article 8 (99400(c)) Contractor operating	<u>30,200</u>	<u> </u>
Article 8 (99400(e)) Contractor capital	<u> </u>	<u> </u>
II. PEDESTRIAN AND BICYCLE		
Article 3 (99234)	<u>21,701</u>	<u>N/A</u>
III. ROADS AND STREETS		
Article 8 (99400(a))	<u>1,295,200</u>	<u>N/A</u>
IV. OTHER		
Article 8 (99400(b)) or 99400(d)	<u>3,250</u>	<u>N/A</u>
////////////////////////////////////		
TOTAL THIS CLAIM	<u>1,667,110</u>	<u>92,916</u>
TOTAL AVAILABLE FOR THIS CLAIM (from page 7, I. and II. G.)	<u>1,767,110</u>	<u>92,916</u>
UNCLAIMED APPORTIONMENT (TOTAL AVAIL. less TOTAL THIS CLAIM)	<u>100,000</u>	<u> </u>

IMPORTANT: To avoid accidental overpayment, please identify in the space below any unexpended carryover included in the amounts being claimed above. Identify the amount of carryover and the purpose for which it is being reclaimed.

\$703,275 was carried over for street and road purposes.

1. Operators claiming STA funds must meet qualifying criteria (PUC Section 39314.6). Page 15 of this form must be completed.

PART I - PUBLIC TRANSPORTATION

Please Circle either:
 (Article 4 Operator)
 Article 8 Contractor

FINANCIAL INFORMATION

		1990-91	1991-92
		Please Circle	Budget
I.	OPERATING REVENUE	ACTUAL or ESTIMATE	
401	Passenger Fares	43,575	48,550
402	Special Transit Fares		
405	Charter Service Revenues		
406	Auxiliary Transportation Revenues (includes advertising)		
407	Non-Transportation Revenues	3,266	1,000
408	Tax Revenue (Specify:)		
	Property Tax		
	Sales Tax (not TDA)		
409	Local Grants & Reimbursements		
	Purchase of Service		
	Local Transportation Fund (LTF)	234,777	313,050
410	Local Special Fare Assistance		
411	State Cash Grants & Reimbursements		
	State Transit Assistance (STA)	5,221	
	Other		
412	State Special Fare Assistance		
413	Federal Grants & Reimbursements (Specify) UMTA Grants		
430	Contributed Services (Not Cash)		
440	Subsidy from other Sector of Operations		
	TOTAL	286,839	362,600
11.	CAPITAL REVENUE		
464	Capital Grants & Subsidies		
	Specify Fed, State, Local:		
	State Transit Assistance (STA)		92,916
	Local Transportation Fund (LTF)	61,654	3,709
	Non-Governmental Donations		
	TOTAL	61,654	96,625

ARTICLE IV

III. OPERATING EXPENSES	1990-91 Please Circle Actual or Estimate	1991-92 Budget
501 Labor		
Operators Salaries/Wages	289	7,200
Other Salaries/Wages		
502 Fringe Benefits	76	1,500
503 Services	2,539	3,800
504 Materials/Supplies		
Fuels/Lubricants		
Tires/Tubes		
Other		
505 Utilities		
506 Casualty/Liability Costs	30,414	34,978
507 Taxes		
508 Purchased Transportation Service	244,906	303,130
509 Miscellaneous Expenses	10,187	12,000
510 Expense Transfers		
511 Interest Expense		
512 Leases and Rentals		
513 Depreciation/Amortization		
Operator Funds		
Grant Funds		
TOTAL	288,411	362,600
IV. CAPITAL EXPENSES*		
Debt Service		
Land/Property Acquisition		
Vehicles	61,654	95,000
Construction		
Other (telephone system, radios)		1,625
TOTAL	61,654	96,625

*Allowable capital expenses are limited for Article 8 claimants; see 99400 (e).

PART-I - PUBLIC TRANSPORTATION

Please Circle either:
 Article 4 Operator
 (Article 8 Contractor)

FINANCIAL INFORMATION

I. OPERATING REVENUE	1990-91 Please Circle ACTUAL or ESTIMATE	1991-92 Budget
401 Passenger Fares	<u>29.200</u>	<u>30,600</u>
402 Special Transit Fares	_____	_____
405 Charter Service Revenues	_____	_____
406 Auxiliary Transportation Revenues (includes advertising	_____	_____
407 Non-Transportation Revenues	_____	_____
408 Tax Revenue (Specify:)		
Property Tax	_____	_____
Sales Tax (not TDA)	_____	_____
409 Local Grants & Reimbursements		
Purchase of Service	_____	_____
Local Transportation Fund (LTF)	<u>28.707</u>	<u>30,200</u>
410 Local Special Fare Assistance	_____	_____
411 State Cash Grants & Reimbursements		
State Transit Assistance (STA)	_____	_____
Other _____	_____	_____
412 State Special Fare Assistance	_____	_____
413 Federal Grants & Reimbursements (Specify) UMIA Grants	_____	_____
430 Contributed Services (Not Cash)	_____	_____
440 Subsidy from other Sector of Operations	_____	_____
TOTAL	<u>57,907</u>	<u>60,800</u>
II. CAPITAL REVENUE		
464 capital Grants & Subsidies		
Specify Fed, State, Local:		
_____	_____	_____
State Transit Assistance (STX)	_____	_____
Local Transportation Fund (LTF)	_____	_____
Non-Governmental Donations	_____	_____
TOTAL	_____	_____

ARTICLE VIII

III. OPERATING EXPENSES	1990-91 please Circle Actual or Estimate	1991-92 Budget
501 Labor		
Operators Salaries/Wages	_____	_____
Other Salaries/Wages	_____	_____
502 Fringe Benefits	_____	_____
503 Services	_____	_____
504 Materials/Supplies		
Fuels/Lubricants	_____	_____
Tires/Tubes	_____	_____
Other	_____	_____
505 Utilities	_____	_____
506 Casualty/Liability costs	_____	_____
507 Taxes	_____	_____
508 Purchased Transportation Service	57,907	64,600
509 Miscellaneous Expenses	_____	_____
510 Expense Transfers	_____	_____
511 Interest Expense	_____	_____
512 Leases and Rentals	_____	_____
513 Depreciation/Amortization		
Operator Funds	_____	_____
Grant Funds	_____	_____
TOTAL	57,907	64,600
IV. CAPITAL EXPENSES*		
Debt Service	_____	_____
Land/Property Acquisition	_____	_____
Vehicles	_____	_____
Construction	_____	_____
Other	_____	_____
TOTAL	_____	_____

*Allowable capital expenses are limited for Article 8 claimants; see 99400 (e).

OPERATIONAL INFORMATION*

	Actual FY 1989-90	(Actual/Est. FY 1990-91	Proposed FY 1991-92
1. <u>Patronage</u>			
a. Total Passengers	76,605	85,343	92,600
b. Revenue Passengers	6,928	8,712	10,500
c. Youth Passengers			
d. Elderly Passengers	68,610	72,418	76,100
e. Handicapped ^{County} Handicapped Passengers	2,067	4,213	6,000
2. <u>Vehicle Miles</u>			
a. Total Vehicle Miles	156,104	178,488	189,200
b. Revenue Vehicle Miles			
3. <u>Revenue Vehicle Hours</u>	13,580	14,930	16,200
4. <u>Revenue Vehicle Fuel Consumption</u>			
a. Diesel			
b. Gasoline	14,183	15,467	16,800
5. <u>Fare Structure</u>			
a. Base	.50	.50	.50
b. Zone			
c. Youth			
d. Senior	1.00	1.00	1.00
e. Handicapped	.50	.50	.50
f. Monthly Pass			
g. Other			
h. Average Fare	.55	.55	.56

*Attach additional pages as necessary to alter or complete description

THREE YEAR FISCAL PLAN

	1992-93	1993-94	1994-95
Operating Expenses	\$ <u>406,000</u>	\$ <u>429,000</u>	\$ <u>452,000</u>
Operating Revenues:			
Sources: LTF	\$ <u>354,000</u>	\$ <u>374,500</u>	\$ <u>394,750</u>
STA	<u> </u>	<u> </u>	<u> </u>
Federal	<u> </u>	<u> </u>	<u> </u>
Fares	<u>51,000</u>	<u>53,500</u>	<u>56,250</u>
General Fund	<u> </u>	<u> </u>	<u> </u>
Other (Interest)	<u>1,000</u>	<u>1,000</u>	<u>1,000</u>
Total	\$ <u>354,000</u>	\$ <u>373,500</u>	\$ <u>394,750</u>
Capital Expenses	\$ <u>75,000</u>	\$ <u>80,000</u>	\$ <u>85,000</u>
Capital Revenue			
Sources: LTF	\$ <u> </u>	\$ <u> </u>	\$ <u> </u>
STA	<u>75,000</u>	<u>80,000</u>	<u>85,000</u>
Federal	<u> </u>	<u> </u>	<u> </u>
Other	<u> </u>	<u> </u>	<u> </u>
Total	\$ <u>75,000</u>	\$ <u>80,000</u>	\$ <u>85,000</u>

ARTICLE VIII

OPERATIONAL INFORMATION*

	Actual FY 1989-90	Actual/Est. FY 1990-91	Proposed FY 1991-92
1. Patronage			
a. Total. Passengers	_____	18,653	19,500
b. Revenue Passengers	_____	18,653	19,600
c. Youth Passengers	_____	_____	_____
d. Elderly Passengers	_____	_____	_____
e. Handicapped Passengers	_____	_____	_____
2. Vehicle Miles			
a. Total Vehicle Miles	_____	_____	_____
b. Revenue Vehicle Miles	_____	_____	_____
3. Revenue Vehicle Hours	_____	8,760	8,760
4. Revenue Vehicle Fuel consumption			
a. Diesel	_____	_____	_____
b. Gasoline	_____	_____	_____
5. Fare Structure			
a. Base	_____	_____	_____
b. Zone	_____	_____	_____
c. Youth	_____	_____	_____
d. Senior	_____	_____	_____
e. Handicapped	_____	_____	_____
f. Monthly Pass	_____	_____	_____
g. Other	_____	_____	_____
h. Average Fare	_____	_____	_____

*Attach additional pages as necessary to alter or complete description

ARTICLE VIII

THREE YEAR FISCAL PLAN

	1992-93	1993-94	1994-95
Operating Expenses	\$ <u>63,830</u>	\$ <u>67,000</u>	\$ <u>70,400</u>
Operating Revenues :			
Sources: LTF	\$ <u>31,700</u>	\$ <u>33,300</u>	\$ <u>35,000</u>
STA	<u> </u>	<u> </u>	<u> </u>
Federal	<u> </u>	<u> </u>	<u> </u>
Fares	<u>32,130</u>	<u>33,700</u>	<u>35,400</u>
General Fund	<u> </u>	<u> </u>	<u> </u>
Other	<u> </u>	<u> </u>	<u> </u>
Total	\$ <u> </u>	\$ <u> </u>	\$ <u> </u>
Capital Expenses	\$ <u> </u>	\$ <u> </u>	\$ <u> </u>
Capital Revenue			
Sources: LTF	\$ <u> </u>	\$ <u> </u>	\$ <u> </u>
STA	<u> </u>	<u> </u>	<u> </u>
Federal	<u> </u>	<u> </u>	<u> </u>
Other	<u> </u>	<u> </u>	<u> </u>
Total	\$ <u> </u>	\$ <u> </u>	\$ <u> </u>

FLEET INVENTORY
(Transit Vehicle **Owners** Only)

Make & Model	Production Year	# of Veh.	Fuel Type	Seat Capacity	Special Features			
					AC	EP	WC	Other
Chev Sta Wag	1986	1	Gas	6	X			
Chev Sta Wag	1988	2	Gas	6	X			
Chev Sta Wag	1989	2	Gas	6	X			
Dodge Minivan	1989	1	Gas	6	X		X	
Dodge Sedan	1989	3	Gas	5	X			
Dodge Minivan	1991	1	Gas	6	X		X	
TOTAL	XXXXXXXXXX:	10	XXXXX}					

Chevrolet Sta Wagon	1990	1	Gas	5	X			
Minivan	1991	2	Gas	12	X		X	

Article 4 Operator TDA Requirements

1. Fare Ratio/Local Support Requirements

All Article 4 claimants are required to maintain a specified ratio of fare revenue to operating cost. In addition, SMTD only is required to maintain a ratio of fare revenue **plus local** support to operating cost of 32%. See 99268.2 - 99268.19 for details and exemptions pertaining to ratios.

A. What is this system's required farebox recovery ratio?

10%

B. Does the attached budget demonstrate that this system will meet its required farebox recovery and for SMTD its farebox plus local support ratios? Yes

C. Has this system utilized its grace year? _____

D. Has this system been in non-compliance with its required ratio(s)? No

If yes, identify the year or years _____

2. Extension of Service/New Service

An extension of service or new service is exempt from the required farebox and focal **support** ratios if:

A. The extension of service or new service has been in operation for less than two full fiscal years. The two-year extension of services exclusion applies until two years after the **end** of the fiscal year in which the extension of services **was** put into operation.

B. The claimant submits a report: on the extension of services to the COG within 90 days after the end of the fiscal year. (For details of the report, see 6633.8(b)).

Is an extension of service/new service being claimed? No

If so, has the required report been submitted for the most recently completed full fiscal year? If not, that report must accompany this claim.

3. Operator's STA Qualifying criteria (99314.6) EXPLANATION

A transit operator must meet one of two efficiency standards before STA funds may be "fully" allocated for operating purposes:

- A) The operator's operating cost per revenue vehicle hour, in the latest year for which audited data are available, must not exceed the sum of the preceding year's operating cost per revenue vehicle hour and an amount equal to the change in the Consumer Price Index (CPI) multiplied by the preceding year's operating cost per revenue vehicle hour. The formula below accomplishes this exercise:

$$(\text{opcost/RVH})_{\text{FY90}} \leq [(\text{opcost/RVH})_{\text{FY89}}] * [1.048] \quad \text{OR}$$

- B) The operator's average operating cost per revenue vehicle hour, in the latest three years for which audited data are available, must not exceed the sum of the average of the operating cost per revenue vehicle hour for the three years preceding the latest year for which audited data are available and an amount equal to the average change in the CPI for the same period. The formula below accomplishes this exercise:

$$\text{AVG}(\text{opcost/RVH})_{\text{FY88,89,90}} \leq \{\text{AVG}(\text{opcost/RVH})_{\text{FY87,88,89}}\} * \{1.049\}$$

As used here, operating Costs are defined by PUC Section 99247:

All costs in the operating expense object classes exclusive of the costs in the depreciation and amortization expense object class, and exclusive of all direct costs for providing charter services, and exclusive of all vehicle lease costs.

STA allows for other exclusions, to be granted by the COG, if deemed appropriate. These additional operating cost exclusions include:

- 1) Exclusion of cost increases beyond the change in the CPI for fuel, alternative fuel programs, insurance, or state and federal mandates.
- 2) Exclusion of startup costs for new services for a period of not more than two years (refer to PUC Section 99268.8 for a definition of new service).

If you wish to claim these exclusions when calculating the operation cost per revenue vehicle hour, you must state the request and show calculations in support of the **cost** to be excluded.

PUC Section 99314.6 is somewhat obscure about how much STA may be allocated in the event that an operator does not meet the efficiency standards. The legislation says that the funds **may** not be "**fully**" allocated, but does not define what is meant by "fully". COG will address this issue if it becomes necessary.

The following documents pertain to the new STA **efficiency** standards and are available at your request:

- PUC Section 99314.6, also known **as** Chapter 35 Statutes of 1991 (SB 3-Kopp).
- **The Uniform System of Accounts for** Public Transit Operators.
- Consumer Price Index Data for California, January, 1981 through May, 1991.
- Transportation Development Act **Audit** Reports, FY 1987 through FY 1990.

Please complete the attached worksheet to determine **if** you **fully qualify** for your STA apportionment. TDA Audit reports will address this efficiency criteria beginning ~~with~~ the Audit for FY 1991.

4. Fifteen Percent Expenditure Increase (6632)

If any of the line items on the attached budget exceed by more than 15% the expenditure for that same item in the previous year's budget, then an explanation for that increase must be given below. Attach an extra page if necessary.

(501, 502) Labor costs - Assistant City Manager is spending more time on transit issues. 10% of time is realistic charge.

Ridership increasing as well as increased reimbursement.

(503) Budgeting costs for additional advertising and New Year's eve free rides.

508) Ridership is increasing by 6.7%.

Reimbursement per ride has projected increase to \$3.50 per ride.

5. Narrative Description (6632)

Please describe in the space below any changes in service characteristics from the previous fiscal year. This should specifically include any substantial increase or decrease in the geographic area served, major changes to the scope of operations, or addition of major new fixed facilities. Please attach an additional page if necessary.

Dial-A-Ride is reaching its capacity. Demand by public for alternative transportation sources. This is requiring redirection of staff time to this problem.

SPECIAL NOTES FOR RATIO CALCULATIONS

SMTD - Exclude certain costs and fares as specified in the most recent Compliance Audit Report.

Lodi - Exclude County service when calculating fares and expenses.

Article 8 Contractor TDA Requirements

For contracted transportation service providers, the San Joaquin County Council of Governments' Executive Board has waived the farebox and local support ratios as it is empowered to do by 99405(c). The COG Board has established a two-step process.

1. Match Requirement

For any Article 8 transit claim, no more than 90% of the total operating funds (minus depreciation) in the budget may be TDA (LTF and STA) derived. The ten percent or more matching funds may come from any other source available to the claimant besides TDA.

2. operating Cost Per Passenger Objective

To receive an amount of TDA operating funds (LTF and STA combined) in excess of what was claimed the previous fiscal year, the claimant must establish an operating cost per passenger objective for the fiscal year of the claim. "Operating cost" is defined as in the TDA statutes and regulations. The objective should be a realistic one based on current and past system performance, but should be low enough to represent an "improvement" when warranted. The COG Executive Board will adopt the systemwide operating cost per passenger objective for the fiscal year of the claim.

If the system failed to meet its operating cost per passenger objective in the fiscal year prior to the fiscal year of the claim, then the claimant is only eligible to file a claim for the level of TDA operating funding received in that prior fiscal year. In the case of a unified transit system, each claimant would be limited to the prior year's level of TDA operating funding. If a system wishes to be eligible for increased TDA operating funding in a future fiscal year, then the claimant should identify an operating cost per passenger objective.

a. What was the level of TDA operating funding received in the previous fiscal year for this system by this claimant (LTF plus STA)? \$ 28,707

b. Does the attached budget information demonstrate at least a 10% match of non-TDA funds in FY 1990-91? Yes

Does the FY 1991-92 budget demonstrate a 10% match of non-TDA funds? Yes

c. Is this claim requesting more TDA operating funds than were received for this system by this claimant in the previous fiscal year? Yes

d. If yes, did the system meet its operating cost per passenger objective in the previous fiscal year? No
(An affirmative answer should be documented in Part "e".)

e. What was last year's Operating Cost per Passenger objective? \$2.17

What was the actual operating cost per passenger?

i. FY 1990-91 Operating Cost	\$	54,272
ii. Total Passengers		<u>18,653</u>
iii. Operating Cost Per Passenger (i/ii)	\$	<u>2.91</u>

f. what is the Operating **Cost** per Passenger Objective for this claim?

iv. Budgeted Operating Cost	\$	60,800
v. Estimated Total Passengers		<u>19,600</u>
vi. Projected Operating Cost per Passenger (iv/v)	\$	<u>3.10</u>
vii. FY 1991-92 OPERATING COST PER PASSENGER OBJECTIVE	\$	<u>3.10</u>

viii. If this claim is for a unified transit system³, has the contributing claimant been appraised of the planned systemwide objective set in vii. above?

3. Fifteen Percent Expenditure Increase (6632)

If any of the line items on the attached budget exceed by more than 15% the expenditure for that same item in the previous year's budget, then an explanation for that increase must be given below. Attach an additional page if necessary.

4. Narrative Description (6632)

Please describe on an attached page any changes in service characteristics from the previous fiscal year. This should specifically include any substantial increase or decrease in the geographic area served, major changes to the scope of operations, or addition of major new fixed facilities.

3. If this claim is for a unified transit system (definition page 19), all calculations and numbers for operating costs per passenger must include system totals. Also contributing claimants to unified transit systems should not use page 17 or 18, use page 19 instead.

ARTICLE 8 CONTRACTOR TDA REQUIREMENTS (CONTRIBUTING CLAIMANTS)

In the case of a "unified transit system," this page *is* to be used by the "contributing claimant" rather than pages 17 and 1a. A "unified transit system" is defined as one which has the same fare structure throughout the service area, but whose TDA expenses are claimed separately by two different TDA claimants. Additionally, to qualify as a unified transit system, all system TDA funding must be claimed under Article 8 (both claimants). "Contributing claimant" is defined as the claimant contributing a minority of the unified transit system's TDA funds. The claimant furnishing the majority of TDA funds is defined as the "primary claimant."

Currently, the following local transit services qualify as unified transit systems:

<u>FY 1990-91 unified Transit Systems</u>	<u>This Page Used by:</u>
Tracy Trans	County
Tracy Taxi	County
Escalon Public Transit System	County

- I. 1. Name of unified transit system _____
2. Systemwide operating cost per passenger objective for FY 1991-92 identified in primary claimant's adopted transit claim (from that claim, page 18, (2) f. vii.) _____
3. Date of primary claimant's adopted transit claim (or anticipated future date, if not yet adopted) _____

IMPORTANT:

The operating cost per passenger objective identified above (I. 2) will be applied uniformly to the total of City and County TDA funds used by the unified transit system, to determine eligibility for increased TDA funding as explained on page 17. Separate calculations will not be done for city and County.

PART II - PEDESTRIAN AND BICYCLE PROJECTS

<u>Project Limits</u>	<u>LTF Cost</u> <u>Total Cost</u>
various locations	<u>\$21,700</u> 50,000
<u>LTF COST: 21,700</u> <u>TOTAL COST: 50,000</u>	

(Use additional page if necessary)

PART III - ROAD AND STREET PROJECTS **

Please provide the requested information for each project being identified for Transportation Development Act funding.

Project Title and Description	Project Limits	Total Cost
*Hutchins Street Overlay	Pine to Lockeford	76,000
*Sacramento Street Overlay	Lockeford to Turner	173,000
*Church Street Improvements	Century to Kettleman	65,000
*Cherokee Lane RR Crossing Protect		20,000
*Mills/Kettleman, Hutchins/Vine & Church Street Traffic Signals		4,000
*Mills Avenue Overlay	Lodi to Elm	15,000
*Overlay Analysis		6,000
*Pacific Ave./Oak St. Overlay		13,000
*Ham Lane Overlay	Kettleman to Vine	10,000
*Hutchins Street Widening	Lodi to Pine	270,000
*Cherokee Lane/Hale Road Flashing Beacon		10,000
Miscellaneous Widening	various locations	50,000
Miscellaneous Sidewalk Replacement	various locations	28,000
Handicap Ramp Installation	various locations	10,000
Miscellaneous Traffic Improvements	various locations	15,000
Pleasant Ave. Sidewalk	150'S/Lodi to Lodi	50,000
Almond Drive Street Widening	500'-1100' W/Cherokee	17,000
Cherokee Lane Overlay	Kettleman to Delores	84,000
Church St. Overlay	Vine to Tokay	89,000
Lockeford St. Overlay	Cluff to 600' E/Cluff	21,000
Lodi Avenue Overlay	Main to Central	153,000
Pine Street Overlay	Hutchins to School	70,000
Stockton Street - Prel. Eng.	Tokay to Lodi	10,000
- Overlay	Locust to Lockeford	54,000
Traffic Signal - location to be determined when CIP is adopted		110,000
Street Maintenance	City-wide	237,000
St. Division Loader/Backhoe		45,200
*Work in progress		
** Street CIP has not been adopted	- some of these new projects could change	
		<u>LET COST: 1,295,200</u>
		<u>TOTAL COST: 1,705,200</u>

PART IV - OTHER PURPOSES

It is possible that a claimant may wish to expend TDA funds for purposes allowed within the Act, but not covered by the three previous **parts**. For instance, TDA funds may be claimed under Article 8, 99400(b) to subsidize AMTRAX service in a community, or under 99400(d) for administration and planning costs for Article 8 transit services. To complete this section, please identify the project, the purpose of the project, the estimated cost, and the fund from which money is being claimed. It is advisable to communicate with **COG** staff before completing this section.

The San Joaquin County Council of Governments has received a grant to conduct a City-wide transit needs assessment. The City is required to match a portion of these costs: \$3,250.00 (Local Transportation Fund)