

RESOLUTION NO. 88-68

RESOLUTION APPROVING THE CITY'S 1988-89
TRANSPORTATION DEVELOPMENT ACT CLAIM FOR
LOCAL TRANSPORTATION FUND

RESOLVED, that the City Council of the City of Lodi does hereby approve the City's 1988-89 Transportation Development Act (TDA) Claim for Local Transportation Fund (LTF) and State Transit Assistance (STA), a copy of which is attached hereto, marked Exhibit "A", and thereby made a part hereof.

BE IT FURTHER RESOLVED that the City Council of the City of Lodi does hereby authorize the City Manager to execute the subject claim on behalf of the City of Lodi.

Dated: May 18, 1988

I hereby certify that Resolution No. 88-68 was passed and adopted by the City Council of the City of Lodi in a regular meeting held May 18, 1988 by the following vote:

Ayes: Council Members - Hinchman, Olson, Reid, Snider and
Pinkerton (Mayor)

Noes: Council Members - None

Absent: Council Members - None


Alice M. Reimche
City Clerk

LOCAL TRANSPORTATION FUND

TO: San Joaquin County Council of Governments
1860 East Hazelton Avenue
Stockton, CA 95205

FROM: Applicant: City of Lodi

Address: Call Box 3006, Lodi 95241
(City, Zip)

Contact Person: Sharon Blaufus Phone: 333-6706

The City of Lodi hereby requests, in accordance with Chapter 1400, Statutes 1971 and applicable rules and regulations, that its annual transportation claim be approved in the amount of \$ 1,078,603 for fiscal year 1988-89, to be drawn from the Local Transportation Fund.

When approved, please transmit this claim to the County Auditor for payment. Approval of the claim and payment by the County Auditor to this applicant is subject to such monies being on hand and available for distribution, and to the provisions that such monies will be used only in accordance with the terms of the approved annual financial plan.

The claimant certifies that this Local Transportation Fund claim and the financial information contained therein, is reasonable and accurate to the best of my knowledge, and that the aforementioned information indicates the eligibility of this claimant for funds for the fiscal year of the application pursuant to CAC Section 6634 and 6734.

APPROVED:

San Joaquin County Council
of Governments

By: _____
PETER D. VERDOORN

Title: Executive Director

Date: _____ 19__

Applicant: City of Lodi

Signed: Thomas A. Peterson

Name: Thomas A. Peterson

Title: City Manager

Date: May 19 19 88

STATE TRANSIT ASSISTANCE CLAIM

TO: San Joaquin County Council of Governments
1860 East Hazelton Avenue
Stockton, CA 95205

FROM: Applicant: City of Lodi

Address: Call Box 3006, Lodi 95241
(City, Zip)

Contact Person: Sharon Blaufus Phone: 333-6706

This claimant, qualified pursuant to Section 99203 and 99315 of the Public Utilities Code, hereby requests, in accordance with Chapter 1400, Statutes of 1971 as amended, and applicable rules and regulations, that an allocation be made in the amount of \$ 6,950 for fiscal year 1988-89, to be drawn from the State Transit Assistance trust fund of San Joaquin County for the following purposes and in the following respective amounts:

Purposes	Amounts
Dial-a- Ride Transit System	\$6,950

Allocation instruction and payment by the County Auditor to this claimant are subject to such monies being on hand and available for distribution, and to the provisions that such monies will be used only in accordance with the terms of the approved claim.

The claimant certifies that this State Transit Assistance Fund Claim and the financial information contained herein, is reasonable and accurate to the best of my knowledge, and that the aforementioned information indicates the eligibility of this claimant for funds for the fiscal year of the applicator pursuant to CAC Section 6634 and 6734.

APPROVED:

San Joaquin County Council
of Governments

By: _____
PETER D. VERDOORN

Title: Executive Director

Date: _____ 19__

Applicant: City of Lodi
Signed: Thomas A. Peterson
Name: _____ Thomas A. Peterson
Title: _____ City Manager
Date: May 19, _____ 19 88

TRANSPORTATION DEVELOPMENT ACT APPORTIONMENTS

I. Local Transportation Fund Available Apportionment

A. Area Apportionment 1988-89	\$ <u>840,758</u>
B. Pedestrian/Bicycle Apportionment	<u>17,515</u>
C. Previous Years' Unclaimed Apportionment	<u>45,000</u>
D. Unexpended Carryover *	<u>175,330</u>
E. Total Available for 1988-89 Claim(s)	<u>1,078,603</u>
F. less any LTF Already Claimed 1988-89	<u>-0-</u>
G. TOTAL AVAILABLE FOR THIS CLAIM (Also enter on page 8 IVa, 1st column)	\$ <u>1,078,603</u>

II. State Transit Assistance Fund Available Apportionment

A. Area Apportionment 1988-89	\$ <u>-0-</u>
B. Special Transit Apportionment 1988-89	<u>-0-</u>
C. Previous Years' Unclaimed Apportionment	<u>6,950</u>
D. Unexpended Carryover	<u>-0-</u>
E. Total Available for 1988-89 Claim(s)	<u>6,950</u>
F. less any STA Already Claimed 1988-89	<u>-0-</u>
G. TOTAL AVAILABLE FOR THIS CLAIM (Also enter on page 8 IVa, 2nd column)	\$ <u>6,950</u>

* Estimate only - based on actual expenditures through 3/31/88.

TRANSPORTATION DEVELOPMENT ACT ALLOCATIONS

<u>Claim Purpose</u>	I. LTF	II. STA*
I. Public Transportation		
Article 4 (99260)-Operator	226,460	6,950
Article 8 (99400(c))-Contractor		
II. Pedestrian and Bicycle		
Article 3 (99234)		N/A
Article 8 (99400(a))	22,345	
III. Roads and Streets		
Article 8 (99400(a))	829,798	
IV. Other		
Article 8 (99400(b) or 99400(d))		N/A
TOTAL THIS CLAIM	1,078,603	6,950
a. TOTAL AVAILABLE FOR THIS CLAIM	1,078,603	6,950
b. TOTAL THIS CLAIM	1,078,603	6,950
c. UNCLAIMED APPORTIONMENT 1988-89 (a - b)	-0-	-0-

*This will automatically be classified as Article 6.5 (99313.3) for purposes of the Act.

IMPORTANT: Please identify any unexpended carryover included in the amounts being claimed above.

The estimated carryovers are: Street & Roads - \$175,330 and Pedestrian & Bicycle - \$4,83

There may also be a small amount in transit, but it isn't shown.

PART I - PUBLIC TRANSPORTATION

Please Circle either: Article 4 operator or Article 8 contractor

FINANCIAL INFORMATION

I. OPERATING REVENUE	1987-88 Actual or <u>Estimate*</u>	1988-89 Budget
401 Passenger Fares	38,000	41,265
402 Special Transit Fares	_____	_____
403 School Bus Service Revenues	_____	_____
404 Freight Tariffs	_____	_____
405 Charter Service Revenues	_____	_____
406 Auxiliary Transportation Revenues	_____	_____
407 Non-Transportation Revenues	2,014	_____
408 Taxes Levied Directly by Transit System (Specify)	_____	_____
409 Local Cash Grants & Reimbursements (Specify) Local Transportation Fund (LTF)	202,129	201,260
410 Local Special Fare Assistance	_____	_____
411 State Cash Grants & Reimbursements (Specify) State Transit Assistance Fund (STA)	1,491	6,950
412 State Special Fare Assistance	_____	_____
413 Federal Cash Grants & Reimbursements (Specify) UMTA Grants	_____	_____
430 Contributed Services	_____	_____
440 (Specify) Interest	100	200
TOTAL	245,734	249,675
II. CAPITAL REVENUE		
464 Federal Capital Grants & Subsidies (Specify)	_____	_____
State Capital Grants & Subventions (Specify) State Transit Assistance Funds (STA)	_____	_____
Local Capital Provisions (Specify) Local Transportation Fund (LTF)	_____	25,000
Non-Governmental Donations	_____	_____
TOTAL	-0-	25,000

III. OPERATING EXPENSES	1987-88 Actual or Estimate*	1988-89 Budget
501 Labor		
Operators Salaries/Wages	_____	_____
Other Salaries/Wages	_____	_____
502 Fringe Benefits	_____	_____
503 Services	_____	1,000
504 Materials/Supplies		
Fuels/Lubricants	_____	_____
Tires/Tubes	_____	_____
Other	2,500	2,500
505 Utilities	_____	_____
506 Casualty/Liability Costs	37,000	36,000
507 Taxes	_____	_____
508 Purchased Transportation Service	196,500	209,925
509 Miscellaneous Expenses	250	250
510 Expense Transfers	_____	_____
511 Interest Expense	_____	_____
512 Leases and Rentals	_____	_____
513 Depreciation/Amortization		
Operator Funds	_____	_____
Grant Funds	_____	_____
TOTAL	236,250	249,675
IV. CAPITAL EXPENSES		
Debt Service	_____	_____
Land/Property Acquisition	_____	_____
Vehicles	_____	25,000
Construction	_____	_____
Other	_____	_____
TOTAL	_____	25,000

*Please circle either "actual" or "estimate", as appropriate.

OPERATIONAL INFORMATION*

	Actual FY 1986-87	Actual/Est FY 1987-88	Proposed FY 1988-89
1. <u>Patronage</u>			
a. Total Passengers	<u>72,879</u>	<u>75,840</u>	<u>77,750</u>
b. Revenue Passengers	<u>4,006</u>	<u>4,665</u>	<u>4,780</u>
c. Youth Passengers	<u> </u>	<u> </u>	<u> </u>
d. Elderly Passengers	<u> </u>	<u> </u>	<u> </u>
e. Handicapped Passengers	<u>68,873</u>	<u>71,175</u>	<u>72,970</u>
2. <u>Vehicle Miles</u>			
a. Total Vehicle Miles	<u>136,490</u>	<u>144,175</u>	<u>147,780</u>
b. Revenue Vehicle Miles	<u>136,490</u>	<u>144,175</u>	<u>147,780</u>
3. <u>Revenue Vehicle Hours</u>	<u>11,299</u>	<u>11,905</u>	<u>12,000</u>
4. <u>Revenue Vehicle Fuel Consumption</u>			
a. Diesel	<u> </u>	<u> </u>	<u> </u>
b. Gasoline	<u>12,855</u>	<u>13,580</u>	<u>13,920</u>
c. Liquid Natural Compressed Gas	<u> </u>	<u> </u>	<u> </u>
5. <u>Fare Structure</u>			
a. Base	<u>1.00</u>	<u>1.00</u>	<u>1.00</u>
b. Zone	<u> </u>	<u> </u>	<u> </u>
c. Youth	<u>1.00</u>	<u>1.00</u>	<u>1.00</u>
d. Senior	<u>.50</u>	<u>.50</u>	<u>.50</u>
e. Handicapped	<u>.50</u>	<u>.50</u>	<u>.50</u>
f. Monthly Pass	<u>N/A</u>	<u>N/A</u>	<u>N/A</u>
g. Other	<u>N/A</u>	<u>N/A</u>	<u>N/A</u>
h. Average Fare	<u>.53</u>	<u>.53</u>	<u>.53</u>

*Attach additional pages as necessary to alter or complete description

THREE YEAR FISCAL PLAN

	1989-90	1990-91	1991-92
Operating Expenses	\$ <u>259,600</u>	\$ <u>270,000</u>	\$ <u>281,000</u>
Operating Revenues			
Sources: LTF	\$ <u>216,600</u>	\$ <u>225,250</u>	\$ <u>234,000</u>
STA	<u> </u>	<u> </u>	<u> </u>
Federal	<u> </u>	<u> </u>	<u> </u>
Fares	<u>43,000</u>	<u>44,750</u>	<u>47,000</u>
General Fund	<u> </u>	<u> </u>	<u> </u>
Other	<u> </u>	<u> </u>	<u> </u>
Total	\$ <u>259,600</u>	\$ <u>270,000</u>	\$ <u>281,000</u>
Capital Expenses	\$ <u> </u>	\$ <u>17,500</u>	\$ <u>18,000</u>
Capital Revenue			
Sources: LTF	\$ <u> </u>	\$ <u>17,500</u>	\$ <u>18,000</u>
STA	<u> </u>	<u> </u>	<u> </u>
Federal	<u> </u>	<u> </u>	<u> </u>
Other	<u> </u>	<u> </u>	<u> </u>
Total	\$ <u> </u>	\$ <u>17,500</u>	\$ <u>18,000</u>

FLEET INVENTORY
(Transit Vehicle Owners Only)

Make & Model	Production Year	# of Veh.	Fuel Type	Seat Capacity	Special Features			
					AC	EP	WC	Other
Chevrolet Station Wagon	1982	2	Gas	6				
Chevrolet Station Wagon	1985	5	Gas	6				
TOTAL	XXXXXXXXXXXX		XXXXX					

Vehicles to be Purchased in FY 88/89

Mini Van or Bus		1	Gas	9-15	X		X	
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AC = Air Conditioned
 EP = Environmental Package
 WC = Wheelchair Lift

Article 4 Operator TDA Requirements

1. Fare Ratio/Local Support Requirements

All Article 4 claimants are required to maintain a specified ratio of fare revenue to operating cost. In addition, SMTD only is required to maintain a ratio of fare revenue plus local support to operating cost of 32%. See 99268.2 - 99268.17 for details and exemptions pertaining to ratios.

A. What is this system's required farebox recovery ratio?

10%

B. Does the attached budget demonstrate that this system will meet its required farebox recovery and for SMTD its farebox plus local support ratios? Yes

C. Has this system utilized its grace year? No

D. Has this system been in non-compliance with its required ratio(s)? No

If yes, identify the year or years _____

2. Extension of Service/New Service N/A

An extension of service or new service is exempt from the required farebox and local support ratios if:

A. The extension of service or new service was implemented in FY 1985-86 or later (99268.8).

B. The claimant submits a report on the extension of service to COG. (For details of the report, see 6633.8(c)).

Is an extension of service/new service being claimed? No

If so, please attach required report.

3. Fifteen Percent Expenditure Increase

If any of the line items on the attached budget exceed by more than 15% the expenditure for that same item in the previous year's budget, then an explanation for that increase must be given below. Attach an extra page if necessary.

4. Narrative Description

Describe any changes in service characteristics from the previous fiscal year. Please attach an additional page if necessary.

It is intended to purchase a mini-bus or van with a wheelchair lift. Wait times are increasing and it is expected with extra capacity in an additional vehicle we can reduce the delays to a more acceptable level.

SPECIAL NOTES FOR RATIO CALCULATIONS

SMTD - Exclude certain costs and fares as specified in 1986-87 Compliance Audit Report.

Lodi - Exclude County service when calculating fares and expenses.

Article 8 Contractor TDA Requirements

For contracted transportation service providers, the San Joaquin County Council of Governments' Executive Board has waived the farebox and local support ratios as it is empowered to do by 99405(c). The COG Board has established a two-step process.

1. Match Requirement

To receive the same amount of TDA funds (LTF and STA combined) that a service received in the previous year, no more than 90% of the operating funds (minus depreciation) in the budget may be TDA derived. The ten percent or more matching funds may come from any other source available to the claimant besides TDA.

2. Operating Cost Per Passenger Objective

To receive an amount of TDA funds (LTF and STA combined) in excess of what was claimed the previous fiscal year, the claimant must establish an operating cost per passenger objective for the fiscal year of the claim. The objective should be a realistic one based on current and past system performance, but should be low enough to represent an "improvement" when warranted. The COG Executive Board will adopt the systemwide operating cost per passenger objective for the fiscal year of the claim.

If the system failed to meet its operating cost per passenger objective in the fiscal year prior to the claim, then the claimant would only be eligible to file a claim for the level of TDA funding received in that fiscal year. In the case of a unified transit system, each claimant would be limited to last year's level of TDA funding. If a system wishes to be eligible for increased TDA funding in a future fiscal year, then the claimant should identify an operating cost per passenger objective.

- i. What was the level of TDA funding received in the previous fiscal year for this system by this claimant (LTF plus STA)? \$ _____
- ii. Does the attached budget information demonstrate at least a 10% match of non-TDA funds? _____
- iii. Is this claim requesting more TDA funds than were received for this system by this claimant in the previous fiscal year? _____

If yes, did the system meet its operating cost per passenger objective (from Part iv. next page)?

- iv. What was last year's Operating Cost per Passenger Objective? _____ What was the actual operating cost per passenger?...
- a. FY 1987-88 Operating Cost \$ _____
- b. Total Passengers _____
- c. Operating Cost Per Passenger
(a / b) \$ _____
- v. What is the Operating Cost per Passenger Objective for this claim?
- d. Budgeted Operating Cost \$ _____
- e. Estimated Total Passengers _____
- f. Operating Cost per Passenger
(d / e) \$ _____
- g. FY 1988-89 OPERATING COST PER PASSENGER OBJECTIVE \$ _____
- h. If this claim is for a unified transit system (see footnote), has the contributing claimant been appraised of the planned systemwide objective set in g. above? _____

3. Fifteen Percent Expenditure Increase

If any of the line items on the attached budget exceed by more than 15% the expenditure for that same item in the previous year's budget, then an explanation for that increase must be attached.

4. Narrative Description

Describe any changes in service characteristics from the previous fiscal year. Please attach an additional page if necessary.

IMPORTANT: If this claim is for a unified transit system (per definition p. 17a), all calculations and numbers on (2)iv. and (2)v. must include both City and County totals. Also, contributing claimants to unified transit systems should not use this page: use page 17a instead.

ARTICLE 8 CONTRACTOR TDA REQUIREMENTS (CONTRIBUTING CLAIMANTS)

- I. In the case of a "unified transit system," this page is to be used by the "contributing claimant" rather than page 17. A "unified transit system" is defined as one which has the same fare structure throughout the service area, but whose TDA expenses are claimed separately by two different TDA claimants. Additionally, to qualify as a unified transit system, all system TDA funding must be claimed under Article 8(c) (both claimants). "Contributing claimant" is defined as the claimant contributing a minority of the unified transit system's TDA funds. The claimant furnishing the majority of TDA funds is defined as the "primary claimant."

Currently, the following local transit services qualify as unified transit systems:

<u>FY 1988-89 Unified Transit Systems</u>	<u>This Page Used by:</u>
Tracy Trans	County
Tracy Taxi	County
Escalon Public Transit System	County

- II. 1. Name of unified transit system _____
2. Systemwide operating cost per passenger objective for FY 1988-89 identified in primary claimant's adopted transit claim (from that claim, page 17, (2) v.g.) _____
3. Date of primary claimant's adopted transit claim (or anticipated future date, if not yet adopted)

IMPORTANT:

The operating cost per passenger objective identified in 3. above will be applied uniformly to the total of City and County TDA funds used by the unified transit system, to determine eligibility for increased TDA funding as explained on page 16. Separate calculations will not be done for City and County.

PART III - ROAD AND STREET PROJECTS

Please provide the requested information for each project being identified for Transportation Development Act funding.

LOCAL TRANSPORTATION FUND

<u>Project Title and Description</u>	<u>Project Limits</u>	<u>LTF Cost</u> <u>Total Cost</u>
Hutchins Street Widening	*Tokay to Lodi	\$350,000
	*Rimby to Vine	75,000
Sidewalk Upgrading	Various Locations	32,500
Asphalt Overlay	Various Locations	200,000
RR Grade Crossing Protection	*Turner Road	15,000
	*Cluff Avenue	15,000
	*Cherokee Lane	15,000
Miscellaneous Traffic Appurtenances	Various Locations	10,000
Church Street Widening R/W	Century to Kettleman	45,000
Lockeford Street Widening/Reconstr. EIR/Preliminary Engineering	Church to Cherokee	60,000
Street Maintenance		122,000
Traffic Signals	Victor & Cluff	90,000
	Lockeford & Stockton	90,000
	Lower Sacramento Rd. & Lodi	90,000
Miscellaneous Widening	Various Locations	50,000
Stockton Street - Harney Lane	Area along Maggio Industrial Park	30,000
*Work in progress		829,798 LTF
		<u>1,289,500</u> Total

(Use Additional Page if Necessary)

STATE TRANSIT ASSISTANCE FUND

<u>Project Title and Description</u>	<u>Project Limits</u>	<u>STA Cost</u> <u>Total Cost</u>

(Use Additional page if Necessary)